



Department of Defense DIRECTIVE

December 18, 1992

NUMBER 5200.37

SUBJECT: Centralized Management of Department of Defense Human Intelligence (HUMINT) Operations ASD(C3I)

References: (a) Title 10 of the United States Code
(b) The National Security Act of 1947
(c) DOD Directive 5240.2, "DOD Counterintelligence," June 6, 1983
(d) DOD Directive 5137.1, "Assistant Secretary of Defense for Command, Control, Communications, and Intelligence," February 12, 1992
(e) DOD Directive S-5105.29, "Human Resources Intelligence (HUMINT) Activities, July 9, 1987
(f) DOD Directive 5240.1, "DOD Intelligence Activities," April 25, 1988

A. PURPOSE

This Directive implements Section 113 of reference (a) and Section 105 (b)(5) of reference (b) to provide for effective management of Department of Defense human intelligence activities through the Defense Intelligence Agency, with centralized management and decentralized execution of such activities.

B. APPLICABILITY

1. This Directive applies to the Office of the Secretary of Defense, the Military Departments, the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Unified and Specified Commands, the Inspector General of the Department of Defense, the Defense Agencies, and the DOD Field Activities (hereafter referred to collectively as "DOD Components").

2. This Directive does not apply to counterintelligence activities conducted under the authority of DOD Directive 5240.2 (reference (c)).

C. DELEGATION OF AUTHORITY

The Assistant Secretary of Defense for Command, Control, Communications, and Intelligence (ASD/C3I) is hereby delegated the authority and assigned the duties of the Secretary of Defense under Section 105(b)(5) of reference (b).

D. POLICY AND RESPONSIBILITIES

1. The Director of the Defense Intelligence Agency, as the DOD HUMINT Manager and consistent with applicable law and DOD Directives, including DOD Directives 5137.1, S-5105.29, and 5240.1 (references (e), (f), and (g)), shall:

a. provide operational tasking to DOD HUMINT elements in accordance with this Directive.

- b. establish standards and procedures for the conduct of DOD HUMINT activities.
- c. assess the effectiveness of conduct of DOD HUMINT programs.
- d. establish mechanisms consistent with DOD Directives for the receipt, validation, coordination, approval, and tracking of the satisfaction of DOD HUMINT requirements.
- e. assist in increasing the efficiency and effectiveness of DOD HUMINT activities and eliminating duplication.
- f. ensure needed HUMINT support for the DOD components.
- g. provide for central cover support for DOD HUMINT activities.
- h. provide for research and development for DOD HUMINT activities.
- i. ensure that the Secretaries of the Military Departments and the commanders in chief of the unified and specified commands are kept fully informed of DOD HUMINT capabilities and activities.
- j. ensure coordination of DOD HUMINT activities with the Director of Central Intelligence as appropriate.
- k. to the extent directed by the ASD/C3I, represent the Department of Defense in inter-agency forums relating to HUMINT.

2. To increase efficiency and minimize costs consistent with meeting operational needs, the Secretaries of the Military Departments, the commanders of the unified and specified commands, and the Director of the Defense Intelligence Agency shall consolidate the HUMINT activities of the Military Departments, the unified or specified commands, and the Defense Intelligence Agency into elements to be known as operating bases pursuant to plans that shall be prepared by the ASD/C3I and approved by the Secretary of Defense. Such plans shall ensure that each operating base is established as an element of:

- a. a Military Department subject to the authority of the Secretary thereof in accordance with Section 3013, 5013, or 8013 of reference (a), which may include elements with personnel assigned from other Military Departments;
- b. a unified or specified command subject to the authority, direction and control of the commander thereof in accordance with Section 164 of reference (a); or
- c. the Defense Intelligence Agency subject to the authority, direction and control of the Director of the Defense Intelligence Agency.

3. Pursuant to Sections 3013, 5013 and 8013 of reference (a), the Secretaries of the Military Departments each shall establish within their respective Departments a HUMINT requirements and tasking office, which shall, to the extent the ASD/C3I determines appropriate, be collocated with the HUMINT tasking element of the Defense Intelligence Agency. Each such office, for the Military Department of which it is an element, shall:

a. keep the Secretary of the Military Department concerned fully informed of DOD HUMINT capabilities and activities.

b. communicate to the Director of the Defense Intelligence Agency the Military Department's HUMINT requirements.

c. receive from the Director of the Defense Intelligence Agency operational tasking for DOD HUMINT elements of that Military Department and immediately and without change provide such tasking to such elements.

d. ensure that plans and intelligence architectures of the Military Department concerned appropriately incorporate DOD HUMINT capabilities.

4. Pursuant to Section 164 of reference (a), each commander in chief of a unified or specified command shall establish or designate within the command, as an element of the headquarters of that command, a HUMINT officer. The HUMINT officer shall:

a. keep the commander fully informed of DOD HUMINT capabilities and activities.

b. communicate to the Director of the Defense Intelligence Agency the command's HUMINT requirements, including its requirements for contingency and wartime operations.

c. receive from the Director of the Defense Intelligence Agency operational tasking for DOD HUMINT elements of that command and immediately and without change provide such tasking to such elements.

d. ensure that the command's plans and intelligence architectures appropriately incorporate DOD HUMINT capabilities.

5. The Director of the Defense Intelligence Agency shall make available to the HUMINT officers of the unified and specified commands technical advice on HUMINT matters.

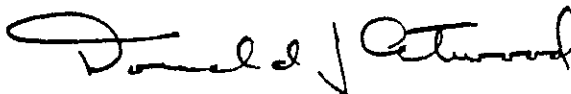
6. A DOD HUMINT element shall execute operational tasking received under Section 3.c. and 4.c. from the Director of the Defense Intelligence Agency and shall do so with the priority assigned to that operational tasking by the Director of the Defense Intelligence Agency, unless otherwise specifically directed by the Secretary of the Military Department concerned in the case of an element of a Military Department or by the commander in chief concerned in the case of an element of a unified or specified command. In the event of issuance of such specific direction, the Secretary of the Military Department concerned or, through the Chairman of the Joint Chiefs of Staff, the commander in chief concerned shall immediately so inform the ASD/C3I.

7. All DOD HUMINT activities shall be conducted consistent with applicable law and DOD Directives, including Directive S-5105.29 (reference (e)), Directive 5240.1 (reference (f)), and regulations implementing reference (f).

F. IMPLEMENTATION AND EFFECTIVE DATE

1. The ASD/C3I may issue such instructions as may be necessary to implement this Directive. Instructions to the Military Department shall be issued through the Secretaries of the Military Departments. Instructions to the unified and specified commands shall be communicated through the Chairman of the Joint Chiefs of Staff.

2. This Directive shall take effect 30 days after the date of signature.



Donald J. Atwood
Deputy Secretary of Defense

Date signed: December 13, 1992