

GridEx V Frequently Asked Questions

What benefit do utilities receive by participating in GridEx V?

NERC's grid security exercise (GridEx) is designed for utilities and government partners to exercise coordinated responses to simulated cyber and physical security attacks, strengthen crisis communications relationships, and provide input for lessons learned. Like its predecessors, GridEx V is a voluntary exercise that will provide participants the opportunity to take part at a level that reflects their available resources and objectives while being consistent with the exercise scenario. GridEx V will help participants strengthen their capabilities to respond to and recover from severe events affecting the reliable operation of North America's electric grid.

What part does compliance play during the exercise?

Compliance is **not** a part of the exercise. GridEx V provides an opportunity for utilities and other stakeholders to exercise their cyber and physical security incident response procedures in a learning environment.

How do utilities register for the exercise?

Each utility and government organization identifies a lead planner who is responsible for coordinating participation. Lead planners may register individuals within their utilities or allow these individuals to register themselves. Lead planners from organizations that wish to participate in GridEx V should send their contact information to GridEx registration@bah.com. The lead planner will then have access to the GridEx V planning website and receive on future communications with relevant exercise information.

Can anyone participate in GridEx V?

GridEx V participation is open **only** to registered utilities, individuals the utility specifically invites (e.g., vendors, law enforcement, emergency managers, State/Provincial/Federal government), and government organizations. To ensure GridEx V is focused on security and operational response, the exercise is closed to media and the public. The Electricity Information Sharing and Analysis Center (E-ISAC) develops a public report highlighting lessons learned and recommendations following the exercise.

Does GridEx offer different levels of participation?

GridEx offers two levels of participation for organizations in GridEx V: as an active organization or an observing organization. *Active organizations* participate in the planning conferences, adapt scenario injects to meet their local objectives, engage in dynamic crisis response, and communicate externally to other exercise participants for information sharing and coordination. *Observing organizations* have access to all planning materials (including the scenario injects), do not communicate externally during the exercise, and may choose to tabletop or discuss scenario events internally. Utilities have the flexibility to switch from observing to active (or vice versa) as they gain knowledge on how they might best participate and dedicate the appropriate resources. The E-ISAC will provide planning and support to encourage utilities new to GridEx to participate as active organizations.



Asset owners and operators (AOOs) can register as observers by sending their contact information to GridEx registration@bah.com.

Where can I observe GridEx V?

GridEx V is a distributed play exercise and does not have a central exercise location. NERC recommends that external organizations (e.g., supply chain, law enforcement, emergency managers, and State/Provincial/Federal government) identify those AOOs that they would like to observe during GridEx. Each participating AOO may determine whether to allow external organizations to observe them during the exercise.

What are other benefits to participating in GridEx V?

- Relationships. GridEx V helps to build and enhance relationships across the electric industry and
 with government stakeholders. Lead planners can customize scenario events to achieve their local
 organizational objectives (e.g., use a physical security threat to prompt power system operators to
 move to their back-up control center).
- **Certification Hours.** Several certification programs require their members to earn Continuing Education Hours (CEHs). NERC is working to ensure that participants from active organizations are eligible for CEHs. NERC certified operators, as well as those individuals with information technology and physical security industry certifications, can receive CEHs for participating in GridEx V.
- **Exercise Processes.** For active organizations, GridEx gives utilities the opportunity to exercise their processes that support:
 - EOP-004 Event Reporting
 - EOP-008 Loss of Control Center Functionality
 - CIP-008 Incident Reporting and Response Planning
 - CIP-009 Recovery Plans for Critical Cyber Assets
 - OE-417 Exercise Reporting
 - Internal and external communications and notification processes

When is the deadline to register as an active participant?

GridEx V registration closes on September 30, 2019. Lead planners should register early to ensure they have time to participate in the planning process for the exercise. The E-ISAC develops planning materials for lead planners to support their roles in directing their organization's participants through the exercise.

Will NERC prepare a public report after GridEx V?

NERC produces a public report that includes key observations and recommendations for improving the exercise.

How should GridEx V participants respond to media inquiries regarding the exercise?

GridEx V participants may respond to media queries as they would respond to any media query. Participants may also direct media inquiries to NERC Communications staff Marty Coyne and Kimberly Mielcarek.

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Please note:

- NERC does not disclose the scenario premise or names of participating organizations or individuals. Only participating organizations can notify media about their participation in GridEx V.
- NERC will not respond to media queries about a specific entity, but will speak about industry participation as a whole.
- Individuals and organizations participating in or observing GridEx V should not disclose details of the
 exercise and should instead refer all media inquiries about the details of the exercise to NERC
 Communications staff Marty Coyne and Kimberly Mielcarek.

For more information on GridEx V, contact E-ISAC Events.

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