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**Statement of Dr. Peter Highnam
Deputy Director, Defense Advanced Research Projects Agency (DARPA)**

Department of Defense Artificial Intelligence Initiatives

**Before the
Emerging Threats and Capabilities Subcommittee
Committee on Armed Services
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30 **DARPA's Seminal Role in the Field of Artificial Intelligence**

31

32 Seventy years ago, when early electronic computers ran on vacuum tubes and filled entire rooms,
33 researchers already were striving to enable machines to think as people do. Only a few years after its
34 start in 1958, DARPA began playing a central role in realizing this ambition by laying some of the
35 groundwork for the field of artificial intelligence (AI). Early work in AI emphasized handcrafted
36 knowledge, and computer scientists constructed so-called expert systems that captured the rules that
37 the system could then apply to situations of interest. Such “first wave” AI technologies were quite
38 successful – tax preparation software is a good example of an expert system – but the need to handcraft
39 rules is costly and time-consuming and therefore limits the applicability of rules-based AI
40 technologies.

41 The past few years have seen an explosion of interest in a sub-field of AI dubbed “machine learning”
42 that applies statistical and probabilistic methods to large data sets to create generalized representations
43 that can be applied to future samples. Foremost among these approaches are deep learning (artificial)
44 neural networks trained to perform a variety of classification and prediction tasks when adequate
45 historical data is available. Therein lies the rub, however, as the task of collecting, labelling, and
46 vetting data on which to train such “second wave” AI techniques is prohibitively costly and time-
47 consuming.

48 DARPA envisions a future in which machines are more than just tools that execute human-
49 programmed rules or generalize from human-curated data sets. Rather, the machines DARPA
50 envisions will function more as colleagues than as tools. Towards this end, DARPA is focusing its
51 investments on a “third wave” of AI technologies that brings forth machines that can reason in
52 context. Incorporating these technologies in military systems that collaborate with warfighters will
53 facilitate better decisions in complex, time-critical, battlefield environments; enable a shared
54 understanding of massive, incomplete, and contradictory information; and empower unmanned
55 systems to perform critical missions safely and with high degrees of autonomy.

56 Today, DARPA is funding more than 24 programs exploring ways to advance the state of the art in
57 AI, pushing beyond second wave machine learning towards contextual reasoning capabilities. This
58 is in addition to more than 55 active programs that are leveraging machine learning or AI
59 technologies in some capacity—from managing the electromagnetic spectrum to detecting and
60 patching cyber vulnerabilities.

61 This level of investment has been years in the making and will define scientific and technical
62 exploration, as well as resulting military capabilities, for decades to come.

63 **Current Programs**

64 DARPA's **Lifelong Learning Machines (L2M)** program is exploring ways to enable machines to
65 learn while doing without catastrophic forgetting. Such a capability would enable systems to improve
66 on the fly, recover from surprises, and keep them from drifting out of sync with the world.

67 First announced in 2017, L2M research teams are developing complete systems and their components,
68 as well as exploring learning mechanisms in biological organisms with the goal of translating them
69 into computational processes. Discoveries in both technical areas are expected to generate new
70 methodologies that will allow AI systems to learn and improve during tasks, apply previous skills and
71 knowledge to new situations, incorporate innate system limits, and enhance safety in automated
72 assignments. While the program is still in its early stages, L2M researchers already have identified
73 and solved challenges associated with building and training a self-reproducing neural network.

74 DARPA is also currently running a program called **Explainable AI** or **XAI** to develop new machine-
75 learning architectures that can produce accurate explanations of their decisions in a form that makes
76 sense to humans. As AI algorithms become more widely used, reasonable self-explanation will help
77 users understand how these systems work, and how much to trust them in various situations. XAI
78 specifically aims to create a suite of machine learning techniques that produce explainable models –
79 while maintaining a high level of prediction accuracy so human users understand, appropriately trust,
80 and effectively manage the emerging generation of artificially intelligent partners. Enabling
81 computing systems in this manner is critical because sensor, information, and communication systems
82 generate data at rates beyond what humans can assimilate, understand, and act upon.

83 The real breakthrough for artificial intelligence, however, will not come until researchers figure out
84 a way for machines to learn or otherwise acquire common sense. Without common sense, AI systems
85 will be powerful but limited tools that require human inputs to function. With common sense, an AI
86 could become a partner in problem solving. Common sense knowledge is so pervasive in our lives
87 that it can be hard to recognize. For example, in conflict and warzone situations, people tend to make
88 snap decisions about the cause of the problem and ignore evidence that does not support their point
89 of view. To act as a valued partner in such situations, the AI system will need sufficient common
90 sense to know when to speak and what to say, which will require that it have a good idea of what each

91 person knows. Interrupting to state the obvious would quickly result in its deactivation, particularly
92 under stressful conditions.

93 In order to find answers to the common sense problem, DARPA launched in October of last year the
94 **Machine Common Sense (MCS)** program, which will explore recent advances in cognitive
95 understanding, natural language processing, deep learning, and other areas of AI research. MCS is
96 pursuing two approaches for developing and evaluating different machine common sense services.
97 The first approach seeks to create computational models that learn from experience and mimic the
98 core domains of cognition as defined by developmental psychology. This includes the domains
99 of objects (intuitive physics), places (spatial navigation), and agents (intentional actors). Researchers
100 will develop systems that think and learn as humans do in the very early stages of development,
101 leveraging advances in the field of cognitive development to provide empirical and theoretical
102 guidance.

103 To assess the progress and success of the first strategy's computational models, researchers will
104 explore developmental psychology research studies and literature to create evaluation criteria.
105 DARPA will use the resulting set of cognitive development milestones to determine how well the
106 models are able to learn against three levels of performance: prediction/expectation, experience
107 learning, and problem solving.

108 The second MCS approach will construct a common sense knowledge repository capable of
109 answering natural language and image-based queries about common sense phenomena by reading
110 from the Web. DARPA expects that researchers will use a combination of manual construction,
111 information extraction, machine learning, crowdsourcing techniques, and other computational
112 approaches to develop the repository. The resulting capability will be measured against the Allen
113 Institute for Artificial Intelligence (AI2) Common sense benchmark tests, which are constructed
114 through an extensive crowdsourcing process to represent and measure the broad common sense
115 knowledge of an average adult.

116 **AI Next Campaign**

117 DARPA announced in September 2018, a multi-year investment of more than \$2 billion in new and
118 existing programs called the "AI Next" campaign. Campaign key areas include providing robust
119 foundations for second wave technologies, aggressively applying second wave AI technologies into
120 appropriate systems, and exploring and creating third wave AI science and technologies.

121 AI Next builds on DARPA’s five decades of AI technology creation to define and to shape the future,
122 always with the Department’s hardest problems in mind. Accordingly, DARPA will create powerful
123 capabilities for the DoD by attending specifically to the following areas:

124 **New Capabilities:** AI technologies are applied routinely to enable DARPA R&D projects, including
125 more than 60 ongoing programs, such as the Electronic Resurgence Initiative, and other programs
126 related to real-time analysis of sophisticated cyber attacks, detection of fraudulent imagery,
127 construction of dynamic kill-chains for all-domain warfare, human language technologies, multi-
128 modality automatic target recognition, biomedical advances, and control of prosthetic limbs. DARPA
129 will advance AI technologies to enable automation of critical Department business processes. One
130 such process is the lengthy accreditation of software systems prior to operational deployment.
131 Automating this accreditation process with known AI and other technologies now appears possible.

132 **Robust AI:** AI technologies have demonstrated great value to missions as diverse as space-based
133 imagery analysis, cyber attack warning, supply chain logistics and analysis of microbiologic systems.
134 At the same time, the failure modes of AI technologies are poorly understood. DARPA is working to
135 address this shortfall, with focused R&D, both analytic and empirical. DARPA’s success is essential
136 for the Department to deploy AI technologies, particularly to the tactical edge, where reliable
137 performance is required.

138 **Adversarial AI:** The most powerful AI tool today is machine learning. Machine learning systems are
139 easily duped by changes to inputs that would never fool a human. The data used to train such systems
140 can be corrupted, and the software itself is vulnerable to cyber attack. These areas, and more, must
141 be addressed at scale as more AI-enabled systems are operationally deployed.

142 **High Performance AI:** Computer performance increases over the last decade have enabled the
143 success of machine learning, in combination with large data sets, and software libraries. More
144 performance at lower electrical power is essential to allow both data center and tactical deployments.
145 DARPA has demonstrated analog processing of AI algorithms with 1000 times speedup and 1000
146 times power efficiency over state-of-the-art digital processors, and is researching AI-specific
147 hardware designs. DARPA is also attacking the current inefficiency of machine learning, by
148 researching methods to drastically reduce requirements for labeled training data.

149 **Next Generation AI:** The machine learning algorithms that enable face recognition and self-driving
150 vehicles were invented over 20 years ago. DARPA has taken the lead in pioneering research to

151 develop the next generation of AI algorithms, which will transform computers from tools into
152 problem-solving partners. DARPA research aims to enable AI systems to explain their actions, and
153 to acquire and reason with common sense knowledge. DARPA R&D produced the first AI successes,
154 such as expert systems and search, and more recently has advanced machine learning tools and
155 hardware.

156 In addition to new and ongoing DARPA research, a key component of the AI Next campaign will be
157 DARPA's **Artificial Intelligence Exploration** (AIE) program, first announced in July 2018. AIE
158 constitutes a series of high-risk, high payoff projects where researchers work to establish the
159 feasibility of new AI concepts within 18 months of award. Leveraging streamlined contracting
160 procedures and funding mechanisms enables these efforts to move from proposal to project kick-off
161 within 3 months of an opportunity announcement.

162 **Conclusion**

163 Over its 60-year history, DARPA has made significant investments in the creation and advancement
164 of artificial intelligence technologies that have produced game-changing capabilities for the
165 Department of Defense and beyond. DARPA's AI Next effort is simply a continuing part of its
166 historic investment in the exploration and advancement of AI technologies.

167
168 Current R&D investment around the world is largely focused on second wave AI or machine learning,
169 which is very good in finding patterns in voice and imagery and has many commercial applications.
170 The difference is, in the United States, DARPA is aggressively pursuing programs that will make
171 second wave AI more robust for defense and security applications, all while helping realize the third
172 wave of AI, or contextual reasoning. DARPA has unique access to the United States' world-class
173 science and technology community, comprised of leading universities, government labs, and industry
174 partners – this mix cannot be found or replicated anywhere else in the world. Marshalling those unique
175 resources, the Agency's third wave research efforts will forge new theories and methods that will
176 make it possible for machines to adapt contextually to changing situations, advancing computers from
177 tools to true collaborative partners. Going forward, the agency will be fearless about exploring these
178 new technologies and their capabilities – DARPA's core function – pushing critical frontiers ahead
179 of our nation's adversaries.