

NATIONAL SECURITY INFORMATION
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ORIGINAL

Vol. 1 OF 21

R1607

The United States Senate

Report of Proceedings

Hearing held before

Senate Select Committee to Study Governmental
Operations With Respect to Intelligence Activities

75000-41874

Wednesday, October 15, 1975

Washington, D. C.

on Tape and Waste Paper
written for declassification

WARD & PAUL
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Testimony of:

Page

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Sidney Gottlieb,

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--accompanied by--

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Terry F. Lenzner, Counsel

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STAFF INTERVIEW

Wednesday, October 15, 1975

United States Senate,
Select Committee to Study Governmental
Operations with Respect to
Intelligence Activities,

Washington, D. C.

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The Staff met, pursuant to notice, at 2:25 o'clock p.m.
in Room S. 406, the Capitol.

Present: Burton Wides, Ted Balston, and Elliot Maxwell,
Professional Staff Members.

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TESTIMONY OF SIDNEY GOTTLIEB, RESUMED,
ACCOMAPNIED BY TERRY F. LENZNER, COUNSEL

Mr. Wides. Mr. Gottlieb, would you state your full name and the address at which you can be reached by the Committee?

Dr. Gottlieb. My name is Sidney Gottlieb -- I can be reached through my counsel, Mr. Lenzner.

Mr. Wides. Is it correct that you are continuing to testify under the oath previously administered to you on behalf of the Committee?

Dr. Gottlieb. Yes, I am.

Mr. Wides. Mr. Gottlieb, let me first ask you about several matters relating to the various activities undertaken by the Technical Services Division, or kinds of activities if of which they were involved during the period when you were the Director. Could you give us again for the record the period when you were the Head of the Technical Services Division approximately?

Dr. Gottlieb. I was Chief of the Technical Services Division between 1966 and 1973.

Mr. Wides. The Director of CIA, Director Colby, following the disclosure of a variety of questionable activities, issued a series of directives to each of the components of CIA approving guidelines to be applied at least in the future with regard to a range of activities. I wanted to ask you about the meaning of them.

Mr. Lenzner. What was the date of these guidelines?

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1 Dr. Gottlieb. Yes, that's what I wanted to know.

2 Mr. Wides. This was in the summer of 1973.

3 Dr. Gottlieb. I was no longer working for them then.

4 Mr. Lenzner. You had left the Agency.

5 Mr. Wides. I understand that.

6 One of the guidelines indicates that in the future there

7 should be no support by the Technical Services Division to

8 domestic intelligence operations of the Department of Defense.

9 And I am wondering if you can recall any activities to which

10 this might refer, that is, if you have any recollection of any

11 kind of support in any area given by TDS to the Department of

12 Defense where you knew it related to domestic activities they

13 were going to be involved in, or domestic intelligence opera-

14 tions?

15 (Off the record discussion.)

16 Mr. Wides. On the record.

17 For the record, let me indicate that apart from the guid-

18 line and the possible implication of a negative pregnant in

19 that, that he is not merely making certain in the future that

20 it does not happen, but given the specificity of the prohibi-

21 tion, the possible implication that there was such assistance

22 provided in the past, I am trying to find out if you can recall

23 it. I also would put on the record that there is nothing

24 either in his statement or in regard to any other information

25 we have that any intelligence activities -- any support

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1 provided by TSD was improper or in regard to an improper
2 operation. I am just trying to find out if you can recall.

3 Mr. Lenzner. I take it the statement doesn't indicate
4 when this occurred if it did occur?

5 Mr. Wides. No sir, it is a prospective guideline.

6 Dr. Gottlieb. I can answer that in the following way.
7 I am not aware of any assistance given by TSD, the domestic
8 activities of the Department of Defense intelligence, nor am
9 I aware of what Mr. Colby is referring to.

10 Mr. Wides. There are two other directives where I want to
11 ask you questions essentially in a similar vein. One states
12 -- and I make the representation to you, we don't have the
13 document here today -- in regard to the now called Resources
14 Division in most of the sixties, called the Domestic Operations
15 Division -- the concluding sentence of the directive is that
16 the Foreign Resources Division shall not undertake any
17 surreptitious entry in the US. My question is again, it being
18 understood that we do not have specific evidence that they had
19 in the past undertaken surreptitious entry, but at least given
20 the negative pregnant that can be read into that, the implica-
21 tion that there has been in the past, do you know of any
22 assistance provided by the Technical Services Division to the
23 Foreign Resources Division in regard to their attempts or
24 actually carrying out any surreptitious entries in the US?

25 Dr. Gottlieb. I am not aware of any such activities.

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Mr. Wides. You mean you are not aware of any such assistance by the TSD?

Dr. Gottlieb. Right.

Mr. Wides. Do you have any knowledge at all of any surreptitious entries by the Foreign Resources Division and the Domestic Operations Division in the US.

Dr. Gottlieb. I do not.

Mr. Wides. If the Technical Services Division had rendered such assistance during your tenure as Chief of the Technical Services Division, is it likely that you would have known about it?

Dr. Gottlieb. I would have to say yes.

Mr. Wides. Was [redacted] the Head of the so-called picks and locks branch during most of your tenure as Chief?

Dr. Gottlieb. I am a little hazy here on the specifics of [redacted] career over this period that I was Chief of TSD. All I can say is that he certainly was involved in activities for part of that period.

Mr. Wides. And for part of it he was Chief of the Branch?

Dr. Gottlieb. I couldn't even remember that specifically.

Mr. Wides. What is the formal title of the branch within TSD that was involved in the technology or means of surreptitious entry?

Dr. Gottlieb. Restate the question.

Mr. Wides. I didn't mean the question to have any legal

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1 overtones. With regard to means
 2 Dr. Gottlieb. You will see in a minute why it is important
 3 Mr. Wides. -- physical entry to facilities, as distinct
 4 from photographic or secret writing, et cetera, was there a
 5 particular branch that dealt with that area?

6 Dr. Gottlieb. There were really two branches that dealt
 7 with the area, because it was usually the subject of some
 8 training of other individuals. And as I remember it -- and I
 9 could be wrong here, but my best memory is that [redacted] was
 10 more connected with the training than with the operations.

11 And the second thing I wanted to say about it is that there
 12 was no separate group for surreptitious entry, it was usually
 13 part of the Audio Operations Branch.

14 Mr. Wides. Was there a section within the branch that dealt
 15 with it? . . .

16 Dr. Gottlieb. I really don't remember that, the best I
 17 can tell you is that it was in that activity.

18 Mr. Wides. And I take it there was a training branch
 19 that [redacted] was connected with?

20 Dr. Gottlieb. Where he did some of this locks and picks
 21 work in that training branch, is what my remembrance is.

22 Mr. Wides. I will come back to that.

23 But let me go on to one other of the directives by Director
 24 Colby. And that was the directive which indicated essentially
 25 that no alias or false documentation should be used for any

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1 purpose other than the establishment of a false identity, and
 2 specifically that it should not be used to cast aspersions or
 3 in any aggressive way against the alleged -- the person whose
 4 identity was invoked. My question is whether you know of any
 5 use by CIA or by any other Agency of materials furnished by
 6 CIA in the way of false identification or alias identification
 7 to discredit the person who was the subject of the documentation
 8 as distinct from simply to have a false identity. Is the
 9 question clear?

10 Dr. Gottlieb. Yes, the question is very clear. And my
 11 answer is no.

12 Mr. Wides. And that would include not simply identifica-
 13 tion cards in the narrow sense but false documentation -- and
 14 let me add an important qualification on that, I am not talki
 15 about overseas operations with regard to foreigners as part of
 16 a covert act propaganda campaign, but I am talking about
 17 specifically American citizens?

18 Mr. Lenzner. Are you including the provision of alias
 19 documentation to Howard Hunt and Gordon Liddy?

20 Mr. Wides. No. And that was ultimately in connection,
 21 perhaps, with the discrediting operation. But I am talkin
 22 about a situation where the use of the documentation itself
 23 was to discredit the person who was the subject of the
 24 documentation.

25 Mr. Lenzner. And you are asking specifically about

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American citizens in the US?

Mr. Wides. Yes.

Dr. Gottlieb. The answer would be no.

Mr. Wides. Returning to the question of training, are you aware generally, or do you recall generally activity by TSD in training police and other law enforcement bodies, State and local law enforcement bodies, in a variety of technical activities?

Dr. Gottlieb. Just a minute.

(Witness confers with counsel.)

Dr. Gottlieb. I was aware of this activity that you are talking about.

Mr. Wides. What kind of programs did this involve? Specifically, did this involve persons from TSD to your knowledge going to a local area and giving instructions, demonstrations or advice, or did this only involve law enforcement personnel coming to Washington to a regional TSD office for some sort of -- as part of a seminar or class -- or what kind of arrangements were used?

Dr. Gottlieb. That is a complex question for me to answer. My general answer to it would be that it was to my remembrance almost entirely the latter. But I want to make the exception. I can remember at least one incident that had to do with these letter bombs where, because of the urgency, and because of a whole lot of other things, technicians in TSD who were

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1 knowledgeable in that area went up to help the New York Police
 2 Department -- I guess you wouldn't call Canada domestic, but
 3 they did go up to Montreal to help the local police body
 4 analyze the problem and defuse the bomb. That was training,
 5 I guess you would call it. But they did go. That was out of
 6 the pattern.

7 Mr. Lenzner. To make sure the record is accurate, I think
 8 you asked whether the police would come to Washington, or the
 9 CIA, or would the TSD go to the police. And I think you said
 10 it was usually the latter. And I think you meant the former.

11 Dr. Gottlieb. I am sorry, I meant the police would come
 12 to us.

13 Mr. Wides. That was the normal pattern. And I didn't
 14 mean initiated by TSD as a component.

15 Dr. Gottlieb. He was talking about later and former, and
 16 I misunderstood the order in the sentence.

17 Mr. Wides. Who were the heads of the training branch during
 18 the period that you were Chief of TSD?

19 Dr. Gottlieb. I am trying to remember.
 20 I am just hitting a blank on names here. Could you
 21 stimulate my memory? I am not trying to withhold this, but
 22 if you mention a few names I might recall them.

23 Mr. Wides. Off the record.

24 (Off the record discussion.)

25 Mr. Wides. Back on the record.

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With regard to the training, what kinds of records to your knowledge were kept by TSD in the normal course of training given to police?

Dr. Gottlieb. My impression was that they were fairly carefully documented -- I don't mean to say the police, I don't know that specifically, but if the training group did with that training what they did with the other, they would have records of it.

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Mr. Wides. Would it be your understanding that they would have records of the names of persons trained, or at least of the locals, that is, the Los Angeles Police Department, or --

Dr. Gottlieb. That would be my impression.

Mr. Wides. You don't know, that is just speculation based on record for CIA training?

Dr. Gottlieb. And for other training, yes. I would be surprised if they don't have those records.

Mr. Wides. Would there also be records specifically as to what kinds of training was given by types such as surreptitious entry or picks and locks?

Dr. Gottlieb. I would think so.

Mr. Wides. Were you aware through your general conversations with other senior personnel in the Agency of any efforts by CIA to go back to local or State law enforcement agencies where personnel had been trained by TSD as to their receiving training in an effort to encourage or request that they use

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1 those techniques in operations that the CIA would be interested
2 in?

3 Dr. Gottlieb. I am not aware of any such. Number one, I
4 wouldn't be. But I am not.

5 Mr. Wides. Are you aware --

6 Dr. Gottlieb. If I understand your question, are you asking
7 me whether I know of any incidents where some officers trained
8 in some technology by TSD were later contacted and asked to do
9 some technical operations domestically for CIA?

10 Mr. Wides. For second hand CIA operations?

11 Dr. Gottlieb. My answer is, I have no such knowledge.
12 I was not aware that the procedure was followed, nor do I have
13 any specific knowledge that any such happened.

14 Mr. Wides. Off the record.

15 (Off the record discussion.)

16 Mr. Wides. Back on the record.

17 What about any recollections you might have of operations
18 in the US jointly participated in by CIA and local police in
19 the areas of audio-operations or technical surveillance? Just
20 without being specific first, do you recall having any awareness
21 of that as distinct from operations, for example, involving
22 embassies where there might have been cooperation with the FBI
23 or other Federal agencies?

24 (Witness confers with counsel)

25 Dr. Gottlieb. My recollection there that is relevant to

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1 your question is that I have a remembrance of equipment being
 2 asked for, the loan of equipment. But I don't remember -- and
 3 I don't know the specifics of it, I just remember that request
 4 coming up once or twice. But I don't remember any situations
 5 where personnel was used.

6 Mr. Wides. Do you recall whether those were instances
 7 involving the Washington Metropolitan Police Department?

8 Dr. Gottlieb. My recollection is of a local police
 9 department, Washington or Fairfax.

10 Mr. Wides. Metropolitan area?

11 Dr. Gottlieb. Yes.

12 Mr. Wides. You don't recall any from other locations?

13 Dr. Gottlieb. No, I do not.

14 Mr. Wides. Off the record.

15 (Off the record discussion.)

16 Mr. Wides. We were speaking about the TSD responsibility
 17 overseas regarding audio-operations. First, by audio, was that
 18 used as a term of art to include both telephone tapping and
 19 electronic surveillance in the layman's understanding of
 20 both?

21 Dr. Gottlieb. Yes.

22 Mr. Wides. Is it correct that at least from the early
 23 sixties on there was a general jurisdictional agreement in a
 24 rough sense that overseas audio-operations would be conducted
 25 by TSD, and that the primary responsibility domestically would

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in the Office of Security?

Dr. Gottlieb. That is my remembrance.

Mr. Wides. In regard to foreign audio-operations.

Mr. Lenzner. When you asked that question, when you say domestically, do you mean who would control it in terms of the Agency in the US, not who controlled domestic audio surveillance?

Mr. Wides. I meant which component would have the primary responsibility for the technical aspects of it, not any policy decisions. Is that responsive?

Mr. Lenzner. Or audio surveillance overseas?

Mr. Wides. It would be the Technical Services Division. And in the US that responsibility would be in the Office of Security.

With regard to overseas operations, did you ever have occasion to know whether American was either a target or was overheard in an audio operation?

Dr. Gottlieb. I am hesitating because it is a very general question.

Mr. Wides. Let me go back. Generally what kind of information would you have as Head of the Technical Services Division as to the target, by which I mean not simply the nature of a building or the facility, but the category of persons wished to be overheard?

Dr. Gottlieb. I would have to put it this way, that every attempt was made to keep out of TSD as much specifics in that

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1 area as they could. Now, in any practical setup that is never
 2 possible. I am sure the Audio Operations Branch was aware of
 3 the nature of some of the targets. Being about three steps
 4 beyond that, I was to that extent less aware.

5 So he might have occasionally been aware of the category
 6 if not the identity of the individuals who have been over-
 7 heard, but less frequently than the Branch Chief?

8 Dr. Gottlieb. I think so.

9 Mr. Wides. And even then that was restricted to the
 10 operational --

11 Dr. Gottlieb. Restricted to the operational group that
 12 did it.

13 Mr. Wides. Would there be any records, to your knowledge,
 14 or would you think there would be any records based on your
 15 experience in the Audio Branch regarding the nature of the
 16 target?

17 Dr. Gottlieb. Every effort would have been made to
 18 minimize that, is the best way I could answer that.

19 Mr. Wides. The next question, based on what we just
 20 covered, is whether you can recall ever being aware personally
 21 either that an American was the target of an overseas audio
 22 operation, or learning, without seeing the actual tapes, that
 23 an American or Americans can be overheard in the course of an
 24 audio operation?

25 (Witness confers with counsel)

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1 Dr. Gottlieb. I think my best answer to that question --
2 Mr. Wides. Excuse me. If you want to have any off the
3 record colloquy with me on that, you may do so.

4 Dr. Gottlieb. I will do it off the record if it is
5 helpful.

6 Mr. Wides. No.

7 Dr. Gottlieb. I think my best answer to that would be that
8 I had an awareness generically that in connection with the

9 MKCHAOS program there were audio operations that were probably
10 targeted against Americans abroad, since I had a general
11 awareness of what their mission was. But I was never aware
12 specifically that on this day in that country this American.

13 Mr. Wides. Was there ever any discussion that either you
14 participated in, or that you were aware of, regarding any
15 constraints as a policy matter on conducting audio operations
16 abroad against Americans?

17 Dr. Gottlieb. Can we go off the record for a minute?
18 (Off the record discussion.)

19 Mr. Wides. Back on the record.

20 Dr. Gottlieb. As Chief of the TSD I would normally not be
21 involved in discussions of that kind at all, in that context.

22 Mr. Wides. But I take it you were aware of the CIAOS
23 program generally?

24 Mr. Gottlieb. Sure.

25 Mr. Wides. Did that come up in part in regard to your

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1 cooperation in the program or assistance to it, or merely
2 as a component helped within DDP and being on the distribution
3 list of general --

4 Mr. Lenzner. Are you asking how did he become aware of it?

5 Mr. Wides. Yes sir.

6 Dr. Gottlieb. I don't remember how I became aware of it.
7 It started out as a very sensitive project. There were very
8 few written things I remember seeing about it. I think I
9 became aware of it when they asked for our cooperation in the
10 audio area. And I must have gotten an oral briefing, but I
11 really don't remember the context of it.

12 Mr. Wides. I believe we asked you on the record with
13 regard to TSD participation in domestic audio operations. But
14 let me just go back -- I am trying to refresh your recollection
15 -- and ask you whether you recall, to complete the record,
16 furnishing any assistance in the area either of audio coverage
17 or surreptitious entry to the CHAOS programs?

18 Dr. Gottlieb. In the US?

19 Mr. Wides. For an operation in the US?

20 Dr. Gottlieb. I don't have any personal remembrance of
21 either of those.

22 Mr. Wides. Do you recall whether you happened to learn of
23 any operations abroad, audio or surreptitious entry, in which
24 TSD participated, or any consideration of operations abroad
25 that you learned of against either Ramsey Clark -- let's take

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them one at a time.

Dr. Gottlieb: Can we go off the record for a minute?

Mr. Wides: Yes.

(Off the record discussion.)

Mr. Wides: Back on the record.

Dr. Gottlieb: My answer is no.

Mr. Lenzner: May I ask, does the Committee have any evidence of that?

Mr. Wides: No, only monitoring of the broadcasts that he made abroad, in an effort to pin down witnesses for prosecution purposes, and not in regard to other people, but that has broadcasts were monitored.

Mr. Lenzner: Pin down witnesses?

Mr. Wides: Off the record.

(Off the record discussion.)

Mr. Wides: Back on the record.

What about either Janes Fonda?

Dr. Gottlieb: No.

Mr. Wides: George McGovern?

Dr. Gottlieb: No.

Mr. Wides: Let me come back to activities in America.

Off the record.

(Off the record discussion.)

Mr. Wides: Back on the record.

Dr. Gottlieb, there were allegations made by representative

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of the Chilean Government that in the spring of 1972 there was a forced physical breakin at the Chilean Embassy here in Washington, that that there was also a physical breakin, forcible entry at several offices or apartments of representatives of the Chilean Government in New York in connection with their United Nations mission there. This was in the late spring of 1972. Are you aware of any assistance by the TSD in particular, or the CIA in general, or any other connection with those breakins?

Dr. Gottlieb. I am not aware of any.

Mr. Lenzner. Burt, let me ask you this. A couple of questions ago you asked -- I want to be sure I got the question right -- whether TSD had furnished any assistance in, I think, surveillance or surreptitious entry in the US as a part of the operation CHAOS. Was that the question?

Mr. Wides. Yes.

Mr. Lenzner. That is not directly related to the question of the breaking in in the Chilean Embassy. Do you have any evidence that CIA assisted in any way in domestic operations regarding operation CHAOS?

Mr. Wides. Not in regard to audio or surreptitious entry. And I just have to go back and check through my files a little more completely before giving you a quick answer as to any other kind of technical assistance. For example, TSD was involved in the mail program, and CHAOS was getting some of the

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tape from that. But in regard to break-ins or audio operations no.

Let me ask you this series of questions. The Office of Security had primary responsibility, you indicated, in regard to domestic audio operations. But I take it there were some domestic operations, that is, operations in the US geographically, particularly in regard to foreign intelligence collection.

(Off the record discussion.)

Mr. Wides. Back on the record -- which TSD personnel participated in either in terms of providing equipment, or assistance, or in some cases actually participating in the running of the operation, is that correct?

(Witness confers with counsel.)

Dr. Gottlieb. I had a general awareness of that.

Mr. Wides. Off the record.

(Off the record discussion.)

Mr. Wides. Back on the record. --

Dr. Gottlieb, I take it your last answer indicated that there were some audio operations and/or surreptitious entry operations in the US in which the Technical Services Division was involved, although it did not have primary responsibility here. And in response to an earlier question -- first, is that correct?

Dr. Gottlieb. Yes.

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Mr. Wides: And in response to an earlier question you indicated that you were not aware of any operations, or could not recall any at least of that nature in the US, in which TSD had been involved where US citizens were targets, is that correct?

Dr. Gottlieb: That is correct.

Mr. Wides: I take it from the fact of both answers that as far as you can recall and were aware, TSD's participation in so-called audio operations or surreptitious entry operations in the US solely involved foreign targets in the sense of foreign facilities or foreign citizens?

Dr. Gottlieb: That is correct.

Mr. Wides: Notwithstanding that answer, may I for the record ask you if you are aware of any involvement of TSD in any kind of surveillance of newsmen such as Jack Anderson and his associates, or [REDACTED] in the late sixties and very early 1970's?

Dr. Gottlieb: I was not aware of any TSD involvement in those cases.

Mr. Wides: Off the record.

(Off the record discussion.)

Mr. Wides: Back on the record.

Just one last question in this category. Would the same negative answer be true in regard to any surveillance of [REDACTED] that is, TSD involvement, that you were aware

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of?

Dr. Gottlieb. To my knowledge there was no such surveillance.

Mr. Wides. So to sum up, with regard to domestic operations against US Citizens in regard to audio surveillance or surreptitious entry, you are aware of none. With regard to foreign -- let me go back. Is it your general belief that TSD would not have been involved in any activities if they were undertaken against Americans of that nature, and if it had occurred it would have been undertaken by the Office of Security in the US?

Mr. Lenzner. That is a pretty speculative question.

Dr. Gottlieb. The last part of it I can't -- I don't want to be pinned down to testifying yes on the last part of it, because I don't know that.

Mr. Wides. I wanted to contrast that with the situation abroad, where I take it your answer is that Americans may have been targeted but you are not aware of being told that?

Dr. Gottlieb. Can we go off the record?

Mr. Lenzner. This question has already been asked and answered.

Dr. Gottlieb. Can we go off the record for a minute?

(Off the record discussion.)

Mr. Wides. Back on the record.

Let me represent to you that the Committee has seen

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information, CIA documents, indicating that they were able to provide the Justice Department with the numbers of Americans overheard over the last ten years in audio operations abroad. And let me ask you, based on your experience in the Agency, how that information could have been obtained if you have any thoughts on that?

Mr. Lenzner. That is a slightly different representation of what happened than what you said before. Before I had the impression that there were 150 approximately Americans who have been surveilled abroad.

Mr. Wides. Electronically.

Mr. Lenzner. Now you are saying that they were overheard.

Mr. Wides. Yes, that is what I meant by electronic surveillance.

Mr. Lenzner. There is a substantial difference between electronically being overheard and being the target of the surveillance.

Mr. Wides. I didn't mean to say they were electronically surveilled. I meant overheard. And the question I have is, since I take it even within that category your answer would actually stand that the Technical Services Division records as far as you know would not have been able to produce that kind of information, based on your experience in the DDP, if you have any thoughts on how that information could have been produced, going back over a ten year period?

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Let me put it affirmatively. Do you know of any office which would have records on the instances in which Americans were overheard by audio operations abroad, CIA audio operation

Dr. Gottlieb. I will answer that question the same way I did informally a little earlier, and that is, I would look to some of the staff elements around the DDP's office for that information.

Mr. Wides. I don't know what you mean by staff elements.

Dr. Gottlieb. There was a staff structure that involved the CI Staff and the FI staff and several other staffs. I don't remember all of them. And those were what I considered the staff structure around the office of DDP.

Mr. Wides. Off the record.

(Off the record discussion.)

Mr. Wides. Do you recall an instance in which TSD provided a relatively unsophisticated device to the FBI for use against the domestic target?

Mr. Lenzner. That is about as vaguely a worded question as I have ever heard. Do you have a memorandum that can help us on this?

Mr. Wides. No, I don't have it here.

Mr. Lenzner. That could be everything from a toy -- do you know what the device was?

Mr. Wides. I think the implication from the context was that it was an audio surveillance.

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Dr. Gottlieb. The reason I have trouble recalling anything specific on that, we did have an on-going cooperative effort on the technical side, where we would talk to them about the devices we had, and where they would, as I remember it, buy devices or borrow devices that we had when they thought it would help them. And I can see lots of places where one of those could have been used on whatever they wanted to.

Mr. Wides. But nothing comes to your mind as a particular item?

Dr. Gottlieb. No.

Mr. Wides. Do you recall preparing a memorandum to the Deputy Director for Science and Technology in May of 1973 in the course of responding to Director Schlesinger's request for a summary of possibly questionable activities?

Dr. Gottlieb. In May of 1973? I don't think I was Head of TSD then.

Mr. Wides. Off the record.

(Off the record discussion.)

Mr. Wides. Back on the record.

Dr. Gottlieb, I would just like to thank you before I leave for your cooperation in regard to questions in this area on your experience as Head of the Technical Services Division, generally, and insofar as I have been involved in it, for your cooperation with regard to other areas that the Committee has sought your testimony on.

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Dr. Gottlieb. Thank you very much. And I want to say that we appreciate you accommodating our time schedule by going through this today.

Mr. Maxwell. Would you like to take a little break?

(A short recess was taken.)

Mr. Lenzner. Just for the record, I wanted to indicate that we have sent to the members of the Committee a letter that reflected our profound concern on reading the front page of the Washington Post on October 11, 1975 detailed disclosures of Dr. Gottlieb's testimony in Executive Session regarding certain events in the Congo in 1960 on which testimony was given on October 9, 1975. And I can assure the Committee that neither myself or Dr. Gottlieb furnished any information that related to the substance or specifics of any testimony that Dr. Gottlieb gave, since it has been our position that the Committee should determine ultimately, in coordination with Executive agencies, what information has been made available to the public in the Committee's final report.

In discussions with Mr. Schwarz, and other staff members, it is clear that the staff shares some concern about the loss of their sensitive and heretofore classified information, and our concern that the information was furnished to the new media while our request for Dr. Gottlieb to testify anonymously and not be referenced by name in the final report was being considered by the members of the Committee.

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I should tell the Committee that sometime ago -- I can give them the specific date from my notes -- Nick Harrock of the New York Times called with some detailed information regarding Dr. Gottlieb's alleged participation in the matters he testified about last week, and also inquired into matters which may relate to the testimony today, one of which was the information he claimed he obtained from Committee sources relating to Chemo-hypnosis, a term I had not heard before, and Dr. Gottlieb's participation in that activity, and also information related to something called Operation Hilltop which he described. He called at approximately 5:20 on August 27th and said Operation Hilltop was a series of experiments and procedures to test the ability of people to withstand mind bending drugs, interrogation in treatment, and that the Inspector General of the CIA had ended those experiments, and that they were farmed out, with the consent of the DDP, to two private organizations.

He also said that the project was run under the supervision of the Technical Services Division.

He said that there had been injuries as a result of the tests, but no deaths.

And then he went into -- he said, we couldn't sue them because he had this information from good sources in both the Rockefeller Commission and the Senate Select Committee on Intelligence. And he described in some detail the Lumumba incident.

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He called subsequent to that a call and gave me further information on the so-called Operation Hilltop which I recorded in my notebook and cannot locate now. But it was sometime after that last date that I gave you.

Mr. Maxwell. Off the record.

(Off the record discussion.)

Mr. Lenzner. That is all I have.

Mr. Maxwell. On the record.

I think I can speak for the Committee in saying that the

Committee's rules and procedures were designed in order that the rights of witnesses would be protected insofar as possible, and that confidential material obtained either from witnesses or from Governmental agencies would not be divulged without the authorization of the Committee.

I am sure that the request that you have made of the Committee will be considered by them and dealt with appropriately.

Off the record.

(Off the record discussion.)

Mr. Maxwell. On the record.

Mr. Lenzner. We found after that telephone call -- which obviously concerned Dr. Gottlieb greatly, after the news article -- that we received telephone calls from other representatives of the news media, Dr. Nick Morrock again from the Times, Dan Schorr, requesting that Dr. Gottlieb make himself available for interviews both on TV and for the news

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media.

And we jointly and mutually decided that we would maintain our posture of allowing the Committee with the Agencies determine what information should be made available to the public. But we found it most difficult, particularly in the area of incorrect reporting of the news leaks, that is to say, inaccurate information being provided with regard to Dr. Gottlieb's testimony to not respond and answer the allegations that will be leaked to the press. And in one instance I did tell both reporters that while I couldn't discuss the details of the testimony, for obvious reasons, that the impression that the Washington Post article left was that whatever occurred regarding my client, which went up to and including the death of Mr. Lumumba, was totally false.

Mr. Maxwell. I want to make clear for the record that you in fact are knowledgeable about your rights, Dr. Gottlieb, and the circumstances under which you are testifying. It is my understanding that this is voluntary, and that you are accompanied by counsel, that you are aware that you have the right to terminate this interview at anytime, and you have the right to remain silent. You have a right, if you would like, to not go any further without a Senator present, and I understand from your presence here that until such time as you otherwise indicate, you are agreeable to remaining.

You understand that you have a right to have a Senator

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present, and you can terminate at anytime. And I take it from your attendance and participation that until you acknowledge otherwise you are willing to go forward with the deposition without a Senator present, and under the oath that you took binding you from the testimony last Thursday, is that correct?

Dr. Gottlieb. Yes.

Mr. Maxwell. And I take it that the presence of Mr. Lenzner indicates your desire to have an attorney present?

Mr. Lenzner. The answer is yes.

And it is not viluntary, the presence of his attorney.

Mr. Maxwell. That is an area that we decided not to question people about. If you would like to raise it for the record, Dr. Gottlieb, you may do so.

Dr. Gottlieb. I would like to defer to my counsel.

Mr. Maxwell. What I would like to do today is to call your attention back to the earlier years after your joining the CIA and focus on the work that was being done by the CIA in regard to the research in the area of the testing of drugs as well as research being done in regard to the work of opposition services in the area of drugs, particularly as this relates to narcotics, but also as it relates to the largers question of the control of individuals, willing or unwilling, the extraction of information from those individual or the performance of actions by those individuals either in accordance with or contrary to their ethical standards.

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1 The dominant CIA program began, to the best of my knowledge,
 2 under Project BLUEBIRD, and it changed names to Project
 3 ARTICHOKE. And it is on those two programs, and their
 4 intercession with other CIA research programs and other DOD
 5 programs, on which I would like to focus today.

6 The mode that I would like to use is to go through a series
 7 of documents, with you, and hope you recall what was being done
 8 at that time. At certain point I will be asking you about
 9 documents which were written prior to your joining the Agency,

10 but which you might have seen and which might help you recall
 11 the events of those times.

12 I understand the difficulty in harking back 20-odd years,
 13 and I appreciate your effort in doing do.

14 Dr. Gottlieb. Pardon me for a minute. I want to consult
 15 with my counsel.

16 (Witness confers with counsel.)

17 Dr. Gottlieb. I would like to ask you a question. Has
 18 Morse Allen appeared and given sworn testimony?

19 Mr. Maxwell. He hasn't given sworn testimony. He was
 20 interviewed at his house. And he went over all of the informa-
 21 tion we had at that point on BLUEBIRD and ARTICHOKE to find out
 22 whether he was unable to substantially recall any of the events
 23 of that time.

24 Dr. Gottlieb. He was unable? I mention that only because
 25 he seems to be the key individual.

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1 Mr. Maxwell. There is a memorandum for the file which was
2 dated 8 June 1951 on the subject of Commander Williams'
3 discussions with third Agency employees.

4 Dr. Gottlieb. I remember seeing that here. Let me look
5 for it.

6 The date again?

7 Mr. Maxwell. 8 June 1951.

8 Dr. Gottlieb. Maybe that is not the one that I have.

9 Mr. Maxwell. The memo references ASPIC and the relation-
10 ships between CIA and that operation.

11 Dr. Gottlieb. ASPIC?

12 Mr. Maxwell. Yes. I was wondering if you recall either
13 specifically or generally?

14 Dr. Gottlieb. My remembrance of the word ASPIC was a
15 case that is not a specific cryptonum but rather a military
16 funding channel. That is all I remember about ASPIC.

17 Mr. Maxwell. Do you remember whether that funding channel
18 was used for funding the Special Operations Division at SOI --
19 the Special Operations Division at Fort Dietrick?

20 Dr. Gottlieb. I really don't have a specific recollection
21 of that. You seem to be referring to it as a project cryptonym
22 as though it had a substantive aspect.

23 Mr. Maxwell. That wasn't what I meant.

24 Dr. Gottlieb. I see. Well, it might or might not have
25 been. But my memory for that detail of funding is not that

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clear.

Mr. Maxwell. Do you recall at all what the funding arrangements were under ASPIC?

Dr. Gottlieb. My best recollection of the word ASPIC has to do with ASPIC audit procedures, you know, a certain way the military audited its fiscal system. And I remember it in the context of that a certain project would be audited according to ASPIC. That is the best remembrance I have of that.

Mr. Ralston. Do you recall if it was a servicewide designation?

Dr. Gottlieb. My remembrance was that it was a Department of Defense -- I shouldn't say -- my best answer would be yes, my impression was that it was a servicewide fiscal system, auditing system, something in that area.

Mr. Lenzner. What does it stand for, does anybody know that?

Mr. Maxwell. No.

Dr. Gottlieb. You can certainly find that out from DOD.

Mr. Maxwell. I think it was. We had one reference in the SOD documents to ASPIC. But subsequently there is no information in those documents.

Mr. Lenzner. Do you want us to read this memo?

Mr. Maxwell. Yes.

If there are questions on the document that I might do to answer I will do so.

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Mr. Benzner. I am asking if Commander Williams was a CIA employee. And Sid said he didn't remember.

Mr. Maxwell. I believe he was.

Dr. Gottlieb. In the OSI? One of Chadwell's assistants or something like that. I don't happen to remember him.

Mr. Maxwell. He was the coordinator and the action officer for BLUEBIRD and ARTICHOKE before he transferred from SOI to I&SO.

There is a memorandum to file dated 10 July 1951. The subject is the minutes of the meeting held 7 July.

Dr. Gottlieb. I only have one of 25 July, and the one before that is 25 May. There is none in between the two.

Mr. Maxwell. I would like to call your attention to paragraph 4A, which indicates: "A team to supplement the interrogation activities in Europe for MICOG is currently the most needed BLUEBIRD team. This team would compliment or supplement the work of [REDACTED]"

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Do you have any recollection of BLUEBIRD activities directed [REDACTED]

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Dr. Gottlieb. You realize this was either at or prior to the actual date that I came in the CIA. And please keep in mind that the first six months to a year were confusing indeed about what my job was and what was going on. I can only

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answer that as a result of reading those files I have a remembrance that there was some, what I called ARTICHOKE activity, which to me means barbituates administered in the truth serum quote, unquote, mode in a sort of a medical setting -- that there were those kinds of activities planned for and I think finally carried out in Europe.

Mr. Maxwell. We have some information later on as to the use of ARTICHOKE techniques as well as BLUEBIRD techniques.

Dr. Gottlieb. Can I ask you how you differentiate those two? Because I am confused. They are almost synonymous in my mind, and mixed up.

Mr. Maxwell. The only difference is whether it was prior to the fall of 1951. At that time it was renamed because of the number of people who were aware of the BLUEBIRD cryptonym.

Dr. Gottlieb. I see.

Mr. Maxwell. We have some information about such techniques used against defectors which are involved in the files that you haven't had a chance to review as yet.

Dr. Gottlieb. There was a last memo I think that talked about the use of ARTICHOKE.

Mr. Maxwell. When you mentioned the ARTICHOKE technique it is associated in your mind with barbituates. And that would include what substances?

Dr. Gottlieb. It would include substances like nembutal and I am really not an expert in this area, but I whole seri

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1 of what I call hypnotics or sleep inducing materials. And as
2 I remember it, the attempt was to catch a person on his way
3 down to the sleep stage, and hope that he would be more open and
4 vulnerable to interrogation. But the important thing is to me
5 that it was done in a sort of a medical setting.

6 Mr. Maxwell. And that means what to you?

7 Dr. Gottlieb. To me the man was aware in some way of some
8 reason for his being in this medical setting, with physicians
9 around him, getting injections.

10 Mr. Maxwell. Your reference to a medical setting, this
11 would also in your mind be associated with the presence of a
12 physician?

13 Dr. Gottlieb. Not necessarily, but just maybe a play
14 acting medical setting.

15 Mr. Maxwell. Were there any hazards involved in the use of
16 such substances such as nembital for interrogation? And this
17 is not calling for expert testimony on your part?

18 Dr. Gottlieb. I am not a pharmacologist or an expert.
19 I really don't think I can answer that accurately. As a layman
20 I think there are always hazards when you use something like
21 barbituates. There are hazards when you use aspirin. But I
22 would rather not answer that in any expert way.

23 Mr. Maxwell. This would only call for the best of your
24 information, given your participation in later interrogations.

25 Dr. Gottlieb. I was never present at an ARTICHOKE

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interrogation, personally.

Mr. Maxwell. Are you differentiating, then, ARTICHOKE interrogations from interrogations using materials described by CIA as A-2 or P-1?

Dr. Gottlieb. Very much so. That was my point.

Mr. Maxwell. The differentiation you were drawing is what?

Dr. Gottlieb. That ARTICHOKE was almost restricted in my understanding to the kind of an operation I referred to before where there would be a team, usually including a doctor in some sort of a medical setting. And there would be an injection of a sleep producing material. And I believe they called the technique narcohypnosis. And I am saying that I was never present at one of those.

Mr. Maxwell. How would the Agency describe the interrogations at which you were present involving drugs?

Dr. Gottlieb. I don't know that they had a generic name for it.

Mr. Maxwell. Did you refer to it at all in any specific way?

Dr. Gottlieb. I think we would call it a P-1 interrogation, or an A-2 interrogation. I don't know of a generic term that finally got to be used for it.

Mr. Maxwell. P-1, I take it, refers to LSD, is that correct?

Dr. Gottlieb. Yes.

Mr. Maxwell. And A-2 refers to what?

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1 Dr. Gottlieb. As I remember it, -- and you know I could be
2 wrong here, but my remembrance is that it is a drug called
3 Meretran, which had a benzedrine action.

4 Mr. Maxwell. For the record can you describe the effect of
5 the two effectively in your experience?

6 Dr. Gottlieb. Do you mean in myself administering it, or
7 my watching it, or what?

8 Mr. Maxwell. Both. Perhaps you could do it first as you
9 experienced it when it was administered to you either by your-
10 self or by other people. And you might divide that up into
11 periods of time when it was administered to you wittingly of
12 the circumstances of admini-stration, and secondly, administered
13 to you at a time when you were not aware that it was going to
14 be administered, and then thirdly, when you administered it to
15 someone and they were witting of its administration and finally,
16 when you administered it to someone who was unwitting of its
17 administration.

18 Dr. Gottlieb. I don't know that I can satisfactorily
19 divide it up in the fine shae you are talking about. But let
20 me approach it in my own way. Let me make the point first that
21 I always follow the practice of being fairly familiar with a
22 drug like this on myself before I get involved in the admini-
23 stration of it to anybody else, in an experimental or any other
24 kind of setting. And I think most of the useful remarks I can
25 make about this refer to my own experiences with it, because

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most of it is subjective, and most of those drugs have a significantly subjective effect.

I would say that LSD had by and large an effect that I would call disorienting, otherworldly, gave you proprioceptions, which means perceiving feeling in your self as opposed to feelings of things outside yourself, like seeing a door as opposed to feeling something inside your own body. And I was making the point that the main actions of LSD have to do with this disorientation, the production of bazaar feelings in the fields of color perception, in the fields of how you perceive your own body. And it has an effect, as I remember it, -- I am trying to think of the term for the opposite of dilating the pupils of your eyes.

Mr. Ralston. Contracting pupils?

Dr. Gottlieb. Yes, contracting the pupils of the eyes.

The last time I took it was a long time ago. So I am having trouble. I really am trying to recollect that.

Mr. Maxwell. You referred to it in your opening statement. but it might be appropriate to have it on the record here. Do you recall approximately how many times you administered LSD yourself?

Dr. Gottlieb. I would guess, if you combine the times I administered it myself and the times it was administered to me in an attempt to get some meaning on the unwitting administration, I would say from six to 12 times, I think that is the

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used. But I only remember that.

Mr. Maxwell. Can you differentiate at all the experiences that you had when you were witting of its administration and when you were not, at least at the time?

Dr. Gottlieb. I was thinking about that just before you asked that. I don't think so. When it was administered to me unwittingly I was quite familiar with the feelings and I identified it, now I have LSD.

Mr. Maxwell. So if I am correct, you are saying that you were not administered the drug unwittingly prior to your having taken it and known that you were taking LSD?

Dr. Gottlieb. That is correct.

Mr. Maxwell. Do you have any notion of how many times you took it wittingly before it was administered unwittingly?

Dr. Gottlieb. Three or four times.

I was going to get to the Meretran next. Meretran was a drug that had very little overtly perceivable effects, as I remember it. It was mostly what they call naleptic, something which keeps you awake, something which is -- again I will use the term "a psychic energizer," which make you feel energetic and sort of with it. It was kind of an up drug. And I don't know that I can really say anymore. I am not giving a medical lecture on those drugs. I am not qualified to do it. My knowledge of them is outdated, I am sure.

Mr. Maxwell. What was the purpose for using Meretran in

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interrogation?

Dr. Gottlieb. It would be basically to get the interrogatee to be charged up and with you and sort of eager to work, and maybe have him lose a little sleep, to get a certain, what I would call, psychic advantage.

Mr. Maxwell. Would the theory be that if the individual was induced chemically to go beyond those point of fatigue which he or she would normally not go beyond, that the resistance to questioning or to suggestion would be less?

Dr. Gottlieb. As I remember the work on Meretran itself, it turned out to be not a very attractive drug for interrogation by itself, except in cases where you only wanted a small effect, you were almost there. But I can't say yes to your question. I don't remember following that particular line of analysis of how it worked in interrogation.

Mr. Maxwell. I am not understanding, then, why you would use it.

Dr. Gottlieb. Well, you could keep a prisoner awake, say, by just talking to him. You could also keep him awake in a manner that might make him worry about why he couldn't sleep by giving him a Meretran. It might be termed, I guess, a manner of stress that could be applied covertly. Does that make it clear?

Mr. Maxwell. Yes.

Mr. Ralston. Dr. Gottlieb, may I ask a question about the

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LSD. Did you notice at the times when you took it a difference in the onset time of the drug when you were witting and when you were unwitting?

Dr. Gottlieb. I don't remember a significant difference there.

Mr. Maxwell. Would you feel uncomfortable about attempting to describe your perceptions of the reactions of individuals to whom you administered either Meretran or LSD?

Dr. Gottlieb. I don't think I would feel uncomfortable in doing that. I think I would feel a little inadequate. I think one of the things we concluded at some point, after a lot of work in this field, was that they were a little unpredictable, and that there was no standard sequence of events, at least in a behavioral sense. And that is why I sort of hesitate without somehow having my memory jogged by something we wrote or by an incident where there is such a description, I would hesitate now to give you something which I consider a standing observed reaction.

Mr. Maxwell. Perhaps later on as we go through the reports of the interrogation that involve those chemicals you may be able to help.

Dr. Gottlieb. Sure.

Mr. Maxwell. There is a memorandum that is dated 20 March 1952 for the Deputy Director for Central Intelligence on the subject of project [redacted]

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Dr. Gottlieb: Yes. That was a cryptonym I didn't remember at all when I read this.

Mr. Maxwell: It is a lively cryptonym. And I was wondering if you recall that project, as you are listed as a possible member of the project advisory group when you were RDD?

Dr. Gottlieb: I can give you a very precise reaction I had when I read this. This was one of the many ideas that never went through. I never remember an active, on-going project of this name. I think it was a proposal that was made and nothing ever happened.

Mr. Maxwell: My understanding was that there was a proposal to be a testing facility within the CIA for use in connection with ARTICHOKE.

Dr. Gottlieb: I don't remember that ever happening.

You were asking me about their capability. I don't remember any facility within CIA for experimenting in the manner that this memo implies, because it doesn't really describe it being carried out. I think you will remember that in my prepared statement I did mention a period of time at which we did administer the drug to CIA employees for what we called defensive pharmacology purposes so that they would be familiar with it if they ever got it again. And that was done in a facility, as I remember it, one of the training facilities. But it certainly wasn't a special facility, it was nothing special, it was just using the room with certain people in it.

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1 Mr. Maxwell. Were those tests conducted only on members
2 of TSS?

3 Dr. Gottlieb. No, there were some other -- they were
4 conducted, as I remember it, on people in the operational side,
5 and some in the TDDP, who either felt themselves, or someone
6 else felt ought to have that training.

7 Mr. Maxwell. How were those people made known of the
8 possibility of having such training?

9 Dr. Gottlieb. They were completely witting of what was
10 going to happen and why, and all that.

11 Mr. Maxwell. Throughout the Agency, or throughout the
12 DDP, how were people told that they could participate?

13 Dr. Gottlieb. It wasn't that kind of a program. They were
14 approached -- and I forget how -- it wasn't a paper that said,
15 anybody that wants LSD show up or present your case. It was
16 much more a program of somehow -- I don't remember the
17 mechanism -- of people who were going to serve in the Soviet
18 Union or in placed that would be exposed, they were approached,
19 and told, we have this capability of exposing you to this drug,
20 and the reason we think you might be interested in it is
21 because you might someday be covertly attacked by it.

22 Mr. Lenzner. You used the word tests. Those weren't
23 actually tests. They were actually considered a part of
24 training. Maybe they were evaluating reactions, but it was
25 mainly training.

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Dr. Gottlieb. Certainly.

Mr. Maxwell. Could you have any recollection of roughly how many people were involved?

Dr. Gottlieb. I mentioned in my prepared statement, I saw something between six and 11, something like that.

Mr. Maxwell. In regard to the administration to people within the DDP?

Dr. Gottlieb. Yes. There was a small number like that. And the program was discontinued, and I don't remember why. I think maybe -- I just don't want to speculate on this.

Just as a little more background to this point we were at. There was some evidence in reports from Eastern Europe and Soviet Union that made several people in the Agency believe that this might well be a technique or an instrument that was being used by the Soviets and satellites.

Mr. Maxwell. Can you expand on that at all?

Dr. Gottlieb. I don't know that I can expand on specific reports, but I remember particularly during that period that a very prominent American diplomat came out of that area and made some very inappropriate remarks, and there was a lot of speculation that he was under this kind of attack. And there were several cases where we read reports of Soviets -- I think there was something here that Terry was just pointing out where some Soviet either defectors or Soviet agents that were intercepted having hypodermic needles -- I guess maybe that

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hasn't got to do with LSD --

Mr. Maxwell: I think the memo indicates that the claim was --

Dr. Gottlieb: That they would be amenable to the will of the captor.

The point we were making is that we didn't know anything about LSD at the time, maybe that was one of its possible actions.

Mr. Lenzner: I have seen other memorandums in your files that indicate evidence that the Soviet Union and other countries were administering them, or there was some indication that an assumption could be made that LSD was being administered covertly by the Soviet Union.

Mr. Maxwell: For the record, I would like to read this paragraph of a memorandum from the Chief of the Medical Staff, dated Janury 25, 1952. It is paragraph 9 of that memorandum entitled "Summary and Opinion."

"There is ample evidence in the reports of innumerable interrogations that the Communists are utilizing drugs, physical duress, electric shock, and possibly hypnosis against their enemies. With such evidence it is difficult not to keep from becoming rabid about our apparent laxity. We are forced by this mounting evidence to assume a more aggressive role in the development of these techniques, but must be cautious to maintain strict inviolable control because of the havoc that

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could be wrought by such techniques in unscrupulous hands."

Mr. Lenzner. What was the date of that memo?

Mr. Maxwell. January 1952.

Dr. Gottlieb. And written by whom?

Mr. Maxwell. It was written by a medical staff officer.

Dr. Gottlieb. What was his name?

Mr. Maxwell. The name was not on the memorandum. I didn't

see any necessity in this instance to get it from the Agency.

Dr. Gottlieb. And it was dated January 1952?

Mr. Maxwell. Yes. It was based on a commentary that the individual was making about the evaluation of the role of the Office of Security in the ARTICHOKE project.

There is a memorandum dated 22 March 1952 for the Assistant Deputy, the Inspection and Security Staff, and the Chief of the Medical Staff.

Dr. Gottlieb. We have it.

Mr. Maxwell. The first paragraph indicates the Chief of Plans had discussed with Mr. Dulles, the Deputy Director -- and at that time I guess he was still Head of OPC.

Dr. Gottlieb. Mr. Dulles? No, he was never Head of OPC -- I am sorry, my memory of that history is mixed up.

Mr. Maxwell. He would be DDP at that time?

Dr. Gottlieb. I would say so, yes. This is Assistant, is Chief of Plans, talking about him, as I read it.

Mr. Maxwell. The proposed experiments were to be conducted

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1 within the Agency on various interrogation techniques under
 2 the overall direction of OSI. Do you recall proposals for a
 3 series of experiments within the Agency on interrogation
 4 techniques that might be referred to by this memorandum?
 5 Dr. Gottlieb. I can only tie it into this [redacted] thing
 6 we were reading before, as I remember it, while it is actually
 7 the memo before it in my book, that they were apparently
 8 improved provisionally or absolutely and really never went the
 9 way.

10 Mr. Maxwell. I don't think -- I have difficulty in linking
 11 this to a proposal, because we don't have any paper that
 12 shows what you might be referring to.

13 Dr. Gottlieb. And there is no reference here. But just
 14 the time chronologically.

15 Mr. Maxwell. There is one difference, though, in that
 16 TSS would actually run the experiments under the proposal.
 17 It is not worth pursuing. But I just hoped that that might
 18 trigger some recollection of what your ideas.

19 Dr. Gottlieb. I am sorry, I can't help you there. You
 20 want to know what the experiments were that he is approving.
 21 I can't help you on that. I don't recall. It is really
 22 confusing when you read paragraph 3. I don't know what they
 23 mean that TSS will actually run the experiment with OSI
 24 providing supervision, advice and qualified personnel. That
 25 a very confusing way to organize something to me.

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Mr. Maxwell. Was TSS at that point, March 1952, conducting experiments for the Agency in interrogation techniques?

Dr. Gottlieb. Not to my remembrance. What we were doing at that time is, as I tried to sketch at least in the prepared statement, is that we were beginning to contact some outside contractors and trying to get some data on LSD. The thrust of our interest at the time was not so much on interrogation as a thesis, as a theme, a focus, but what does LSD do, and some

other drugs, I don't remember all of them. Certainly we had interrogation in the back of our minds but I am assuming when you say interrogation you mean experiments that are testing a drug under some --

Mr. Maxwell. Do you recall the drugs aside from LSD that are the subject of interest on the part of TSS?

Dr. Gottlieb. My problem there is that I can give you a list of some drugs that come to mind. But I can't say for sure at what period they came in. There was LSD, Meretran, and something called psilocybin. There was mescaline, and there was something called tetrahydro-cannabilinol, the active constituent of marijuana. I can't name any others right now, but that was a representative group.

Mr. Maxwell. Was TSS involved in experiments with Sodium pentathol amytal?

Dr. Gottlieb. No. What TSD was interested in, though, what was we referred to -- and you may have read this

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1 nomenclature -- knockout drops, K something we call it -- a
 2 K tablet. What we were referring to there was something which
 3 would do what those things you mentioned would do, but could be
 4 relatively covertly administered and render somebody in as
 5 safe as possible senseless so that they could be removed from
 6 somewhere, or so that a guard could be overpowered. That was
 7 a relatively fruitless search, and to my knowledge still is.

8 Mr. Ralston. Was there something like chloralhydrate?

9 Dr. Gottlieb. Yes. We were interested in that point of
 10 view in a chemical modification that would retain its hypnotic
 11 action, meaning sleep producing, not hypnosis, and be very
 12 active, so that there was only a small quantity needed, and it
 13 be tasteless, and soluble in liquids, and that sort of thing.

14 Mr. Ralston. So the K pill was a chloralhydrate type of
 15 drug?

16 Dr. Gottlieb. I will put it this way. Chloralhydrate
 17 seemed to me to be the best you could have done with the state
 18 of the art as it was.

19 Mr. Maxwell. To the best of your knowledge, were K pills
 20 or K tablets ever utilized operationally?

21 Dr. Gottlieb. I am confused on that point. To the best
 22 of my knowledge, we didn't have any that were good enough to
 23 use. Now, that doesn't mean there couldn't have been times
 24 that chloralhydrate was suggested or used. But I don't have a
 25 clear memory of that.

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Mr. Lenzner. You are grimacing. Is there something that you referred to specifically?

Dr. Gottlieb. You know, I haven't read those.

Mr. Maxwell. I understand that. I don't have any evidence that in fact they were administered.

The knitting of brows, which I take not to be agreement, referred to the utilization of the phrase "K tablets" in a CSI issuance number 220-10 which refers to MKDELTA materials, and describes them as "certain chemical and biological materials (including K and L tablets) capable of producing human behavioral or physiological changes. And this was issued on 22 July 1960, and replaced DDP notice 220-1: dated 20 October 1952. That suggested to me, not necessarily that they were ever used operationally, but that DDT at that time considered itself to have such material available.

Dr. Gottlieb. That is best explainable by me by saying that there were many requests for this sort of thing, always to be answered in the negative, in the sense of trying to get into a building and needing to overpower a guard, during the Vietnam War to capture a courier that we knew had documents of interest, and that sort of thing. And the capability, I guess, would be considered to be something about chloralhydrate or that state of the art.

Mr. Maxwell. If a request came for a K pill or K tablet to whom would it be referred and to the best of your

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recollection, what was the chain?

Dr. Gottlieb. I think there would have to be an approval
And I think that CSI states by the DDP in some way. So there
would be a necessity for it to be issued. And there would be
prior explanation to whoever the desk people who were handling
the request from the field about what the capability was.
And in most cases that I can remember it was not adequate.
if that was acceptable, then -- well, I didn't answer -- it

would certainly be referred to TSD. And we would either
discuss it, if we felt it was useful or not with the Medical
Staff some aspects of it.

Mr. Maxwell. Would this come into Headquarters from an
operational unit?

Dr. Gottlieb. Yes.

Mr. Maxwell. And then it would be referred through the
DDP to TSS, or directly to --

Dr. Gottlieb. Well, it might be discussed with the people
in TSD that were involved with that field beforehand. And if
it was decided that the area division was interested, then
it would have to go to the DDP for approval.

(Witness confers with counsel.)

(Off the record discussion.)

Mr. Maxwell. Back on the record.

There is a memorandum for the ADPC dated 21 May 1952 on
the subject, the OSI proposal for an interim field program,

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1 and for organizational changes with respect to project
2 ARTICHOKE?

3 Dr. Gottlieb. I have it.

4 Mr. Maxwell. I believe that you wrote a note to [redacted]
5 in regard to that project?

6 Dr. Gottlieb. I see now why you tie the two up. But how
7 could I have written it before the memo is written? Mine is
8 dated May 19th and this is dated May 21st.

9 Mr. Maxwell. That is right. My understanding -- my guess
10 is that you wrote the May 19th memo in response to the May
11 15th which is in there.

12 Dr. Gottlieb. The May 15th is a long one. You didn't say
13 that my memo is in response to this as much as the other one.
14 And you are not talking about the other one as much as this
15 one.

16 Mr. Maxwell. That is right. And I want to bring the two
17 things together so that you can see whether that sequence is
18 correct.

19 First, the proposal for an interim field testing program
20 dated May 15th.

21 Secondly, the transmittal slip dated May 19, 1952, and
22 signed Sid.

23 And third, [redacted] memorandum in regard to the int.
24 field testing program.

25 Dr. Gottlieb. Are you asking a question?

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1 Mr. Maxwell. I would like to see whether that, to the
2 best of your recollection is the correct sequence?

3 Dr. Gottlieb. I have no independent recollection aside
4 from reading these now, and reading what was apparently my
5 own buck slip on one of those. All I can say is, yes, the
6 three memos are related. But that is not based on any recollec-
7 tion these stimulate in my mind, just reading them.

8 Mr. Maxwell. I would like --

9 Mr. Lenzner. May we just take a second to read this a
10 little more carefully?

11 Mr. Maxwell. Surely.

12 (Off the record discussion.)

13 Mr. Maxwell. Back on the record.

14 Dr. Gottlieb. I think my response to your question would
15 be that, yes, the buck slip that I wrote that is dated May 19th
16 as best I can figure out, refers to both of those memos in
17 spite of the variance in dates. I could have seen a draft,
18 let's say, of the 21 May memo.

19 Mr. Maxwell. My hypothesis is that the memo, the buck
20 slip, dated May 19th preceded [redacted] memo of 21 May. And
21 he was writing in response to the ADPC --

22 Dr. Gottlieb. In response to my buck slip?

23 Mr. Maxwell. Because of your buck slip. And that seems
24 the most logical way of reading that.

25 Dr. Gottlieb. I wouldn't dispute that.

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Mr. Maxwell. What I wanted to call your attention to was paragraph 5 of [redacted] memo, in which he indicates that TSS has let some contracts in this field with the prior concurrence of [redacted]. And I was wondering if you recall given your position at that time with TSS, generally what the research projects were?

Dr. Gottlieb. I can't respond to that in any other way than by saying that they might have been some of the earlier research contracts on LSD.

Mr. Maxwell. As early as 21 May 1952?

Dr. Gottlieb. Yes.

(Witness confers with counsel.)

Dr. Gottlieb. Our concern here is that my memory is not good on this point. It could have been LSD work or it could have been other work. And I don't remember the specific nature of the contracts. Maybe they were even contracts trying to get at pharmacological projects on animals and some things, or it could have been contracts that had to do with humans. I am just not sure.

Mr. Maxwell. I would like to read the transmittal slip that you wrote which I believe -- it seems logical, and you agree with that -- refers to the proposal for interim field testing.

Dr. Gottlieb. The proposal for interim field testing?

Mr. Lenzner. He is reading your buck slip that has say

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agree refers to the May 15, 1952 memo.

Dr. Gottlieb: I see.

Mr. Maxwell: It reads:

"I read the enclosed TSD document and, as [redacted] knows, I am in considerable disagreement with it. It seems to me to be a belated attempt to make up for two or three years of confusion and ineffectiveness. I question the security in doing the study in this way, if it is to be done at all. If this is supposed to be covered up as a

defensive feasibility study, it is pretty damned transparent. There is a lot of obvious experimental work that needs to be done. This study doesn't even begin to get it done.

"Signed, Sid."

Is that your handwriting?

Dr. Gottlieb: Yes.

Do you have a question in connection with that?

Mr. Maxwell: I just wanted the record to reflect what the transmittal slip showed.

There is one other piece in that time period that I would like to refer you to. It is a memo dated 26 April 1952 which I think shaped the memorandum of May 15th on interim field testing. And I wanted your response to the statement in paragraph 2(c): "A major factor contributing to the lack of confidence in present techniques, as well as our inability to develop new ones, has been the difficulty in obtaining competent medical support, both for the operational teams and the

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research effort."

As you recall the period of time preceding that, and the period of time immediately following this memorandum, would it be a fair characterization of that period of time to say that there had been difficulty in the Agency in obtaining qualified medical support for the operational teams and for the research effort?

Dr. Gottlieb. I don't think I can help you much on that.

That is 23 years ago, and a detail like that is just not --

Mr. Maxwell. Was it difficult for you in organizing research for TSS to find competent medical support?

Dr. Gottlieb. One of the confusions is, if you are talking about research effort as they talk about here, as I remember it, and as I tried to say in my opening statement, this thing kind of mounted shlowly. And although there was trouble, because this was a relatively new drug and a relatively new field, in finding many people that had any expertise in it -- I don't remember finding people who were willing and able to work on this to the extent they were physicians on the research side, and that was a side. That doesn't speak at all as to getting doctors to be members of the ARTICHOKE team. First they wanted two teams, one in [redacted] and one in the Far East. I remember this mostly as referring to that difficulty. I don't remember the getting of qualified people, and doctors especially were a problem that I can remember from this long

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ago.

Mr. Maxwell. When you were at TSS did you have any discussions about the methods that were being used in the field interrogations by the ARTICHOKE teams?

Dr. Gottlieb. Discussions with whom?

Mr. Maxwell. With other members of TSS.

Dr. Gottlieb. I guess I probably did. It was a matter of interest to me, although I wasn't directly involved in it. I think the thrust -- you could put it, the thrust of one of the aims of our research program was to get a technique that was more covert than the ARTICHOKE technique. So I said, we must have talked about it, sure.

Mr. Maxwell. And the covertness focuses on the fact that the ARTICHOKE team, according to your recollection, required the individuals to be in a situation where they knew or perceived that they were receiving medication of some form?

Dr. Gottlieb. That is what I referred to.

Mr. Maxwell. And the attempt was, in the interrogation that you were in, to conduct them without the subject knowing that they were receiving any --

Dr. Gottlieb. That was the general idea, or to get as close to that kind of capability as we could.

(Off the record discussion.)

Mr. Maxwell. I call your attention to a memorandum dated July 14, 1952. It is a memorandum on the subject of Project

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and the name of the project has been whited out. And it is from the senior intelligence officer, who I take to be

[REDACTED]

Dr. Gottlieb. What is the date again, please?

Mr. Maxwell. July 14, 1952. This memorandum --

Dr. Gottlieb. I am not sure that I have that.

Mr. Maxwell. This memorandum was prepared and is essen-

tially in draft form, the result of a series of memorandums which went back and forth within the Agency between the Office of Scientific Intelligence and the DDP, in order to determine the allocation of responsibilities under Project ARTICHOKE. And I wanted to call your attention first to the paragraph 3(a), and the notation there that operations would be under the control of the Chief of Mission.

Dr. Gottlieb. Right. Okay.

Mr. Maxwell. You had differentiated Project ARTICHOKE from another project for which we have not assigned the name in regard to LSD or Merctran testing.

Dr. Gottlieb. Yes.

Mr. Maxwell. The draft memorandum there indicates that the ARTICHOKE testing would be done under the control eventually of the Chief of Mission in the country in which it took place. Do you have any recollection of whether there was similar control by the Chief of Mission in regard to the interrogations in the program involving P-1 or A-2, and if not,

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1 what was the line of authority in regard to those projects?
 2 Dr. Gottlieb. If you define the words CIA Mission Chief
 3 as the senior field CIA official in the particular locality
 4 where this was going on, there wasn't any question that he,
 5 together with his Headquarters reflection, the cognizant
 6 Headquarters desk and division, were in total charge of that
 7 kind of operation. TSD or TSS never was. And I would say that
 8 this would apply to any operations, including the ones using
 9 P-1 or A-2 -- not that this memo says that, but you asked me
 10 how did it work, or was the other different. And I would say
 11 no.

12 Mr. Maxwell. So at no point would TSS or other bodies,
 13 for instance, the CIA Medical Staff or the Counter Intelligence
 14 Staff, be empowered to utilize ARTICHOKE techniques, or to
 15 your knowledge, P-1 or A-2 techniques without the approval of
 16 the Chief CIA Office in the geographical area, the senior CIA
 17 officer?

18 Dr. Gottlieb. I would say without coordination of that
 19 officer. I can envision situations where Headquarters, meaning
 20 the operational controllers of Headquarters, not TSS, might
 21 stimulate such an operation. But he would have to be involved.
 22 Am I making my point clear? What I am trying to say is that
 23 they were always under operational control. The CIA Mission
 24 Chief may not have been the principal figure in it, but he was
 25 always aware of it, and if he didn't know he had lots of

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chances to say so. I don't ever remember one where the CIA senior field person was not onboard.

Mr. Maxwell. In the same memo it notes that overall responsibility for the project would be transferred from intelligence to security with full responsibility for research being allocated to the technical staff. This applied only to Project ARTICHOKE?

Dr. Gottlieb. The wording here is confusing to me. Technical staff --

Mr. Maxwell. That was simply in the paraphrasing by the Office of Medical Services where this file was maintained for the Technical Services.

Dr. Gottlieb. I am not sure, because there was a technical group in security, too. And this could mean that. I find this to me now a little confusing. It seems to be saying that overall responsibility goes from intelligence to security, and possibly that TSS will do whatever research and testing is needed. But it doesn't say that to me unequivocally here.

Mr. Lenzner. The words technical tasks are typed in in a different type face than the rest of the memorandum.

Mr. Maxwell. That is right. They sanitized it.

Mr. Lenzner. So it is a paraphrase of other language which may or may not reflect other language. Do you know what the other language was?

Mr. Maxwell. It was the Technical Services.

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Dr. Gottlieb. You were asking me to interpret what I was reading here.

Mr. Maxwell. I was asking you in particular about the relationship between the research for ARTICHOKE and other research that was going on outside of Project ARTICHOKE in regard to either interrogations, drugs, control of individuals.

Dr. Gottlieb. And your question was what?

Mr. Maxwell. What was the relationship between the authority for ARTICHOKE research, which was delegated to TSS, and the other research that was being performed in similar areas, adjoining areas, related areas?

Dr. Gottlieb. I am hearing you say -- and I am checking this with you, because I want to be responsive to your question -- did all the research relating to drugs in this period done in TSS sort of have some relationship in a control sense to this project, is that it?

Mr. Maxwell. The question would be more specifically, was the research that was being done in related areas with drugs in TSS that was not related to ARTICHOKE, was not done under the auspices of ARTICHOKE, was not made known to people who comprised the ARTICHOKE committee or the group of people who were involved in making decisions about ARTICHOKE?

Dr. Gottlieb. I didn't hear the question. I was waiting for another phrase.

Mr. Maxwell. Was there research being done --

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1 Mr. Lenzner. Outside of the ARTICHOKE complex?

2 Dr. Gottlieb. I can only respond to that by reading of the
3 several ARTICHOKE minutes that you have where I see Dr. Gibbons
4 who was Head of TSS at the time, sometimes accompanied by me,
5 reporting on that kind of work. So I would have to say that
6 at that period this seems to be a clearing house for even
7 distantly related work. Because I read someplace about some

8 chap whom I have a dim recollection, Dr. Veehover, it comes
9 back that we do some research on psychogenic material and we
10 reported to this group. I can only report from that that
11 the definition of related work was pretty broad. And I can't
12 think of work that we were particularly walling off from this
13 group at this point.

14 Mr. Maxwell. Then it would be your recollection that any
15 related areas of inquiry supervised by TSS would be reported
16 back to the ARTICHOKE Committee, given their interest in that
17 general area?

18 Dr. Gottlieb. Yes. And I get that from reading those
19 minutes of the ARTICHOKE meeting.

20 Mr. Lenzner. The first sentence in paragraph 2 says:
21 "It was agreed by those present that the overall responsibility
22 of Project ARTICHOKE should be transferred from Security " --

23 Mr. Maxwell. It would be from the Office of Scientific
24 Intelligence to I&SO, with full responsibility for research
25 being allocated to the Technical Services Staff?

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Mr. Lenzner. And it says specifically ARTICHOKE.

Mr. Maxwell. Yes.

I think it would probably be useful to go into that series of meetings on ARTICHOKE that comes later in time in which you are often a direct participant in which your activities were reported. There is a memorandum, a draft memorandum for the file dated 29 September 1952 on the subject of ARTICHOKE.

Dr. Gottlieb. That doesn't have me present.

Mr. Maxwell. No. Representing TSS would be Dr. Gobbons

(?) 1.3(a)(4) and [REDACTED] (?).

Dr. Gottlieb. [REDACTED] was in OSI, he was representing OSI. I was Director of OSI, I believe, following Dr. Chadwell.

Mr. Maxwell. Off the record.

(Off the record discussion.)

Mr. Maxwell. Back on the record.

The third paragraph of the memorandum indicates that Dr. Mackley was going to set up an office in New York and engage in basic research programs in support of ARTICHOKE. Given the fact that TSS had the basic research responsibility under ARTICHOKE, and given the fact that this comes close in time to the period when the NKULTRA program was authorized, do you recall the relationship between [REDACTED]'s work and the work being conducted in TSS?

Dr. Gottlieb. I can only answer that in a kind of a rambling way in a time scale. And you will see what I mean.

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I remember, to the extent that I remember anything about this, that this thing never came to anything, that [REDACTED]

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never went up to [REDACTED], or if he did, it never lasted long.

4

What I remember about [REDACTED] is that he finally settled

5

in Florida, and he grew orchids and had a botanical setup where

6

[REDACTED]

7

[REDACTED]

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[REDACTED] And he

9

[REDACTED] That was the relationship. So

10

I think he faded out of this program. And later on we were

11

doing this stuff with him.

12

Had you run across that in the records?

13

Mr. Maxwell. Off the record.

14

(Off the record discussion.)

15

Dr. Gottlieb. I don't remember [REDACTED] and the [REDACTED]

16

[REDACTED] thing ever materializing.

17

May I ask whether your records show something else?

18

Mr. Maxwell. The record in regard to later ARTICHOKE

19

research are very skimpy. Although there are some ARTICHOKE

20

operations which continue on through 1954, they trail away

21

at that time. My understanding of what was going on in the

22

research areas in the Agency at that time was that ARTICHOKE

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research was declining rapidly and the MKULTRA research was,

24

expanding substantially.

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Dr. Gottlieb. Of course I don't understand what the

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research efforts were, even after reading this.

Mr. Maxwell. When you made the decision that you did earlier, it made me wonder how you would draw those lines. And one of our obvious concerns has been to try to understand organizationally how the research was set up and controlled, what communications existed, and what was the effect of the compartmentation, and what kinds of experiments were done outside of TSS, and where we have not good records, but a little bit more firsthand information.

On the second page of that same memo it indicates that: "OTS will refer all requests for the use of ARTICHOKE materials by the operational or area offices to I&SO for coordination and approval of such use. It is the basic policy that use of ARTICHOKE materials by non-professional case officers will be discouraged or prohibited."

In your experience with ARTICHOKE, although you already indicated that you were not involved in administration of ARTICHOKE materials, do you recall requests coming to TSS or coming to your office in TSS or TSS in general, for the use of ARTICHOKE materials, and the methods of responding to such requests?

Dr. Gottlieb. I don't remember any requests like that. But my remembrance would be stimulated by these memos that we certainly would have furnished them over -- we didn't consider we had an ARTICHOKE capability in the manner that I defined

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1 it. We had no facility, and we had no MD that worked for us
 2 who was skilled or practiced in this kind of technique. So
 3 we surely would have referred it over either to the Medical
 4 Staff, or in this case I am sure the Security Office.

5 Mr. Maxwell. Do you recall any way in which the operational
 6 units might have learned about I&SO's capability, or the
 7 Medical Staff's capability, for such interrogation?

8 Dr. Gottlieb. That is a good question, because it might
 9 be that in briefings that TSS at that time gave -- but I don't
 10 remember that specifically, the only thing I can think of was
 11 that we would have mentioned it in terms of technical capa-
 12 bility available for operations.

13 Mr. Maxwell. Perhaps you would play that out a little bit.
 14 When an officer was being stationed abroad, would he in fact
 15 come through a reeducation process, a retraining process
 16 whereby he would be told by Medical Staff, TSS, or the like
 17 what capabilities there did exist?

18 Dr. Gottlieb. I remember such a process, an effective
 19 process, coming in or being open in the last five or six
 20 years I was in the CIA. Before that was a much more informal
 21 kind of thing. It could have or couldn't have happened,
 22 depending upon how alert and thorough the desk was at which it
 23 was being prepared in Headquarters. Certainly some people
 24 came over to us to find out what can you fellows do for us
 25 overseas, how can you help us, how can you support our

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1 operations. But the point I am making is that there was no
 2 thorough, comprehensive -- I don't want to use the word fool-
 3 proof, but effective way of doing this so that this almost
 4 always happened.

(Witness confers with counsel.)

6 Dr. Gottlieb. Your question was, would most case officers
 7 going overseas come over in some organized trade through TSS
 8 and in that way get exposed to the capabilities we had, or
 9 interest we had discussed with them, and in that way ARTICHOKE
 10 perhaps was getting around? And my answer was, that process
 11 of case officers being prepared for overseas work and routinely
 12 and pretty surely coming through TSS didn't become an effective
 13 system until much later. At this period the word got around
 14 in various ways. A little later, not now, I would go on
 15 fairly regular trips overseas, partly for the purpose, when
 16 I had supervisory responsibilities in TSD, of just checking
 17 on how the support of our overseas units was going, and partly
 18 to brief stations on capabilities. Where appropriate, those
 19 things would come up. Sometimes I held a little seminar with
 20 all of the case officers in a station somewhere. And they
 21 would ask me, what can you fellows do in this area.

22 Mr. Maxwell. In regard to general training within the
 23 Agency for those people who would become case officers who
 24 would move into DDP, not so much a reeducation process for
 25 those people who had been overseas, but for those people who

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were going overseas for the first time, was TSS involved in briefing or training people about capability such as this?

Dr. Gottlieb. Well, TSS from as far back as I can remember -- and remember, for a long time I was not in operations in TSS, I was just in research and development, so I would not have gotten involved in those briefings and what I called the operations course. And so my firsthand knowledge of that starts

only when I became something like, I guess Deputy Chief in 1962. And that is the first time that I had direct responsibility for operational support. And my answer would have to be, relatively little of this area would have been brought up with those, not senior case officers, but case officers in training.

Now, I want to make a reservation there. It could be that we had some kind of seminar in which research interests were represented and they were briefed on a research program and questions could have come up. But I don't think they were specifically and thoroughly briefed in this area in any training course during this period.

Mr. Maxwell. In regard to the activities that were engaged in by TSD, in providing information to the operational units, in the meetings that took place in part, I think, at first under your supervision, and later on with an interchange of DTP people and TSD people --

Dr. Gottlieb. TSD was in the DDP. You are talking about

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what we called the Beechtree meeting?

Mr. Maxwell: Right.

Dr. Gottlieb: Yes, I organized those sometimes when I was Head of Research there in 1961 and 1962.

Now, what is your question?

Mr. Maxwell: The expectation was that the individuals who came through the Beechtree from the field side would pass that onto other people in the station?

Dr. Gottlieb: For a long time -- and I think all the time -- the Beechtree seminars were a way of TSD checking as to the relevance of its research and development program. And there was an attempt made to have the people who came to Beechtree -- they were at TSD request appointed by the DDP himself. And there was a reason for that. We wanted them to be responsible senior divisional people who could speak for their area in a general way. And as I remember it, except as people naturally got rotated, there were never people there because they were going overseas.

Mr. Maxwell: But the expectation would be that those senior people would be able to pass on their knowledge of the capabilities of TSD to people more junior than themselves?

Dr. Gottlieb: I think we are getting off on a tangent here. And I will tell you why. Your interest is in how the information about those capabilities was passed on. The purpose of the Beechtree seminars had nothing to do with that. They were

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1 primarily to pass a proposed research program both in a
 2 substantive sense and in a budgetary sense, scope, the various
 3 things we were doing, before the eyes of an assembled group
 4 of senior operational officials in the DDP so that we could
 5 get a representative reaction that was very hard to get, given
 6 the various needs of the varying divisions. And it had nothing
 7 to do with making them aware of our capabilities operationally.

8 Is that clear?

9 Mr. Maxwell. I would have thought that there would have
 10 been at least one sub-benefit which we envisioned --

11 Dr. Gottlieb. Of course it is a sub-benefit. But it is
 12 far from the focus. The focus was, this is what we propose to
 13 do next year with so much money. How responsive is it to your
 14 needs, a research program? It only had to do with a research
 15 program program. I think you might be equating the research
 16 program with the P-1, A-2 area. But of course that was only
 17 a small part of it.

18 I might add that we found that an extremely useful
 19 instrument.

20 Mr. Maxwell. In that same memo on page 3 there is an
 21 indication that the ARTICHOKE techniques were being used by a
 22 medical officer stationed in [REDACTED] I take it that those
 23 were the similar ARTICHOKE techniques as have been referred to
 24 before which would probably be sodium pentathol and desoxyn
 25 pentathol. Desoxyn operated as essentially a stimulant?

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1 Dr. Gottlieb. It is a benzedrine derivitive. I know when
2 people get too much barbituate this things plays the other way
3 and visa versa.

4 Mr. Maxwell. Did that technique involved any risk for a
5 patient or a subject in normal health?

6 Dr. Gottlieb. I would have to defer that to a doctor.

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7 Mr. Lenzner. There is a memo of a meeting in [REDACTED]

8 of June 4, 1952 of an interrogation where both those substance
9 were used, in fact at one time simultaneously. Which conclude
10 by saying that the case proved that the detailed interrogation
11 can be carried out in a harmless fashion with a compound use
12 of sodium pentathol and desoxyn.

13 Dr. Gottlieb. Without any recollection on the part of the
14 patient?

15 Mr. Maxwell. It was my understanding that that was the
16 principal ARTICHOKE technique in combination with hypnosis.

17 Dr. Gottlieb. Well, in answer to your question, my
18 understanding would be just what you said. But it is derived
19 mostly from reading this material. I don't know that the
20 medical officer stationed in [REDACTED] was using anything else.
21 And I think that was your question.

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22 Mr. Maxwell. It was a question in regard to that memorand
23 it notes that there would be budgeting for two more ARTICHOKE
24 teams. And I was wondering if you knew whether in fact there
25 has been now ARTICHOKE teams added to the ones that apparently

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were overseas at the time?

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Dr. Gottlieb. For whatever it is worth, my memory is that

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they talked for years and years about stationing two teams

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in a permanent sense in [redacted] and [redacted], and in fact that

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was never done.

6

Mr. Maxwell. There is a transmittal slip dated 4 November

1.3(a)(4)

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1950 to [redacted], noting that the inclosed might be of

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interest to Morse Allen, and noting that the inclosed was

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gotten from Gottlieb at OTS. It is my understanding that the

10

inclosure was the following packet -- it is not the full

11

memorandum -- from [redacted], dated September 4, 1952, on

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the subject of information from Europe related to the ego-

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depressants. Do you recall this memorandum from [redacted]?

14

Dr. Gottlieb. I don't recall it. I have read it. I have

15

glanced through it. And I certainly wrote the covering memo.

16

I am sure if I got something like this, I can see in these

17

days I would send it to the Security people.

18

Mr. Maxwell. The memorandum is interesting for a number

19

of reasons, one of which is the question it raises about the

20

vulnerability of individuals or installations to chemical

21

warfare, or biological warfare, and its use of LSD in that.

22

There is also a paragraph -- before I go on, when information

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such as this came to your attention, and to the attention of

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TSS, was this information also shared with individuals at SOD,

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and in the military in general?

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Dr. Gottlieb. I don't know. I think we would count on something like this going through normal intelligence channels to an Army unit. We might have discussed it informally with SOB. I guess if we found something like this and we thought it related to a SOB interest we might take it up and circumvent the rather laborious channels.

Mr. Maxwell. How was the process of vulnerability studied how were they generated? Because it would seem to me that once this was raised as a possible interest, as I am sure it had been raised before in other instances --

Dr. Gottlieb. Can I stop just a minute, because we might be able to do something that would be helpful here.

(Witness confers with counsel.)

Dr. Gottlieb. I sort of broke you off in mid-question. What I suggest I do is, I have been looking for an opening to make a comment -- I don't mean an opening where I can say anything I want, but a opening that would tie into a subject which we are talking about. And since you brought up vulnerability studies, ever since I watched one of the public sessions on TV, specifically the one where Colby testified on the toxins, and where Helms and Karamessines did, I have a thing about what I consider to be very misleading things that went on there. And I would like to comment on them. Now, they have to do with vulnerability studies, is why they come up here, and they have to do with vulnerability studies done by

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SOD. Would you agree that this is as good a place to put the
in as any?

Mr. Maxwell: As good a place as any.

Dr. Gottlieb: I think it was Senator Hart that was
particularly interested in these vulnerability studies that
were received by TSD from SOD and included in a file labeled
MKNAOMI. Are you following me?

Mr. Maxwell: Yes.

Dr. Gottlieb: And there was quite a point made at the
hearings, and I can't remember whether this was an explicit
point, but it surely was a strongly inferred point, that the
CIA had something, and the inference was something sinister,
to do with these vulnerability studies. And the comment I
want to make is that in fact CIA had nothing to do with either
of the vulnerability studies I mentioned, CIA was simply a
recipient, as I am sure many other Government groups were, of
a report of SOD work done, not in response to any CIA require-
ment that I know of that they were working against, but done
in their own research program, and quite properly, I think, to
study the vulnerability of this country to covert BW attacks.
And I would like to straighten that out, because I think a
grave disservice was done in many ways, but mostly it was just
a distortion of what really happened, that although CIA was the
recipient of a report describing those studies, CIA had nothing
to do with generating the requirement, nor did it have anything

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1 to do in my remembrance with carrying it out, nor does it have
2 anything to do with any proposed operation CIA thought of or
3 ever got involved in. And I am afraid the opposite impression
4 was made. That is one point.

5 The second point was that there was also an inference that
6 somehow this was harmful, or potentially harmful from the stand-
7 point of contamination to either the building where the water
8 system was tested or the subway. And I don't think that is
9 an accurate deduction to be drawn, because in the case of the
10 building, as I remember reading the report, it was just a dye
11 that was put into the water to see how it diffused. And in
12 the case of the subway system, as I remember it, it was a
13 perfectly normal organism that is around everywhere, and it
14 doesn't harm anybody. Or maybe it was even a tracer. But I
15 don't know if I made that point clearly. I know there was an
16 impression made on people's mind that, one, CIA had something
17 sinister to do with these studies. And two, that the studies
18 were somehow harmful to the structures or systems that were
19 involved in them.

20 Mr. Maxwell. For the sake of just continuing along this
21 point for a moment, you said that CIA had nothing to do with
22 generating requirements for those studies. Would it be fair
23 to say that CIA had nothing to do with the ideas -- with the
24 possible proposal of such studies by SOD rather than limiting
25 it to the requirement?

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Dr. Gottlieb. If you consider that a more general statement I would agree with it. My remembrance of those activities in that period was that we had nothing to do with it except to be the recipient in case we were interested in a report of their work.

Mr. Maxwell. Is it your recollection that no one knowledgeable on the part of CIA as to MKNAOMI discussed with personnel from SDO possible vulnerability studies, or the possible applicability of vulnerability studies to things the Agency was concerned about, or that might be useful for the Agency? I want to make sure that the point is made that while CIA may not have generated the requirement in the formal sense of the way the requirement would be generated within the Service it is indeed your point that CIA was involved in the kind of discussions that would precede such proposals on the part of SOD. For instance, if in fact you received a report like this, or another report that said it would be possible to contaminate an entire building, or a battleship, or an installation of some sort by adding a chemical to the water system, that it would appear it would be natural to bring up that kind of discussion to SDO because of its interest in both offensive and defensive chemical and biological warfare. But in that circumstance it would appear that the CIA information and concern, while not part of a requirement process, also maybe part of SOD framing the vulnerability study without any sinister

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connotation whatsoever?

Dr. Gottlieb. I think I understand what you mean. And my best response to it is that I wouldn't put it the way you have. I would put it simply that SOD had as an important part of its mission to worry about the vulnerability of the USA to covert BW attack. I consider that one of their main reasons for being. Those vulnerability studies were taken entirely as far as I

am concerned in the context of that non-CIA related requirement. Now, the study having been planned and approved and finally executed; I have no problem in seeing someone in SOD saying, you fellows might be interested in this. That is my point.

Mr. Maxwell: My point was that it is neither sinister necessarily or unlikely that part of the formative process of vulnerability studies was consultation between CIA and SOD.

Dr. Gottlieb. My answer is that that could have happened. I don't know that it did in this case. It certainly was in my mind not the major precipitating cause for this thing happening. That is my point.

Mr. Maxwell. The other point that I think should be made and should be reflected in the record, is that one of the concerns on the part of the Committee was the fact that SOD apparently conducted vulnerability studies without the approval or knowledge of those either public or private officials responsible for either the civil defense, the FDA administration or the people who administer the subway system in New York.

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And thirdly, the point I think should be made is that there have been vulnerability studies using what has been perceived as harmless agents which apparently have resulted in incapacitation and/or death. Given that, the point that an agent is regarded as safe and normal has to be seen in the context of what we later have discovered about the results.

Dr. Gottlieb. I am getting off the main point I want to make. I think the CIA was drawn into this thing by association and not by direct participation or anything like that. And that is what bothered me.

Mr. Maxwell. The memorandum from [redacted] also includes a paragraph which I would like to read, page 9. The number is up at the righthand top. I haven't reprinted all the page of that. It indicates: "The second reason to doubt [redacted]

[redacted] came out of the question I raised as to whether any accidents had arisen from the use of P.D.25. He said in a very positive way, none. As it turned out, this answer could be called overly positive, for later on in the evening I was discussing the matter with [redacted]

[redacted], where I had gone at [redacted]

insistence. [redacted], when asked this same question, replied, yes, and added spontaneously, there is a case [redacted] knows about. [redacted] a woman and physician who had been subject to depression to some extent took LP 25 in an experiment and became severely and steadily depressed and committed

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suicide three weeks later. While the connection is not definite, knowledge of this could hardly have allowed the positive answer [redacted] permitted himself. This case is a sharp warning to us to avoid this agent in subjects who are depressed, or who have been subject to depression."

I was wondering whether you recall reading that?

Dr. Gottlieb. I didn't remember this report at all. I had

no recollection of either the report or that particular section in it.

Mr. Maxwell. Do you recall learning about similar events prior to 1953, or similar experiences?

Dr. Gottlieb. You mean suicides that followed? No, I don't recollect that.

(Witness confers with counsel.)

Mr. Maxwell. Did you want to add anything to your answer?

Dr. Gottlieb. No.

Mr. Maxwell. Do you recall the research that had been done up to that time by TSS or any other agency in CIA or by other Governmental agencies or academititions that were known to you, about the effects of LSD?

Dr. Gottlieb. I certainly don't recall that now. And if we are going to go into that, I would want to have my memory refreshed.

Mr. Maxwell. Do you recall the kind of research that was going on at that time in regard to LSD?

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Dr. Gottlieb. I only remember that there was very little of it, that as far as I was concerned there was a lot of material coming across my desk in lots of other fields. And I just couldn't discuss that comprehensively now, I mean in any detail.

Mr. Ralston. Do you recall ever seeing or reading reports from the [REDACTED]

Dr. Gottlieb. Do I recall reading that now? No. I am sure that I read reports on LSD. That is not my response. But I don't remember them now.

Mr. Lenzner. Did you ask him whether he had seen a special report?

Mr. Ralston. Any report, not special, from [REDACTED]

Mr. Lenzner. This report, by the way, that Elliot had asked about is dated 1952, the report by [REDACTED]

Mr. Maxwell. Do you recall independently the kinds of research that TSS had begun to commission in this area at that time?

Dr. Gottlieb. Not really. I have trouble specifically recalling who was doing research that early for us.

Mr. Maxwell. And there is a memorandum dated 26 November 1952 on the subject of Project ARTICHOKE from Colonel Edward [REDACTED]. And in paragraph 2(b) it indicates that the Chief of OTS had indicated that OTS would restrict itself to laboratory type

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research and experimentation. Does that help you at all in trying to recall the kinds of experimentation that was done?

Dr. Gottlieb. Not really, no.

Mr. Maxwell. Later it goes on to say that OTS will conduct within the limitations of their facilities specific research other than medical requested by I&SO in support of operational aspects of Project ARTICHOKE. Do you recall what that might be?

Dr. Gottlieb. No.

Mr. Lenzner. I wonder if at some point -- how long was this [redacted] report in total?

Mr. Maxwell. Ten pages or so, maybe a little bit more.

Dr. Gottlieb. We thought you said it wasn't complete.

Mr. Maxwell. I didn't reproduce it completely, because I wanted to try to restrict the amount of material.

Mr. Lenzner. Can we look at the full report?

Mr. Maxwell. Certainly.

Mr. Lenzner. Not now, but just at our leisure.

Mr. Maxwell. Surely. When we go back to the office tonight, if you would like to do that, I can find it.

There is a memorandum dated 4 December 1952 for Morse Allen on the ARTICHOKE conference of 4 December. On page 3 it indicates that [redacted] gave a brief summary of the trip to [redacted] in June by an ARTICHOKE team which I have some information about.

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Dr. Gottlieb. In June of 1952?

Mr. Maxwell. Yes. And gave a more or less detailed report about the [redacted] trip in September 1952 by the Navy team. There is very little information available about the later trip. And I was wondering if you recall, A, what the Navy was doing at that time, what they later refer to, the Navy research which was being conducted. And apparently the Navy was the only Service branch that was engaged in research. And secondly, whether that trip differed in any significant way from the other ARTICHOKE trips?

Dr. Gottlieb. The only way I can help you is just to confirm what you have said, that I have a vague recollection of the Navy doing some work in this specific field of narcohypnosis in respect to interrogation. But I have no more details of that.

Mr. Ralston. Dr. Gottlieb, do you recall if there was Navy intelligence involved in this work?

Dr. Gottlieb. I really don't. My recollection, now that we are talking about -- so now that it is mentioned here in paragraph 12 that it was a university contract that the Navy was working through. And that was my recollection just then.

Mr. Maxwell. Do you recall what the research was about, just in general?

Dr. Gottlieb. That it was in this narcohypnosis, possibly use of barbituates, or closely related to ARTICHOKE.

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1 Mr. Maxwell: Do you recall if it involved human subjects?

2 Dr. Gottlieb: You mean the trip?

3 Mr. Maxwell: No, the research that was being conducted by
4 the Navy?

5 Dr. Gottlieb: Yes. My recollection is that it didn't.

6 Mr. Maxwell: There is a memorandum to the Chief of
7 Security Research Staff dated 13 February 1953 on the subject

8 of an ARTICHOKE conference of 22 January 1953. It indicates
9 in paragraph (f) that [REDACTED] discussed [REDACTED]

10 [REDACTED] I was wondering if you

11 recall that case, and whether in general you could speak to the

12 question of how this form of research operation, as well as the

13 form of research that you were conducting and later other

14 operating was done in conjunction with [REDACTED]

15 [REDACTED]

16 Dr. Gottlieb: [REDACTED] rings no bell with me whatso-
17 ever.

18 Mr. Maxwell: If you would like to wait until you have
19 been able to go over material on [REDACTED]

20 [REDACTED]

21 Dr. Gottlieb: [REDACTED]

22 [REDACTED] But I need to
23 read some more about it.

24 Mr. Maxwell: [REDACTED]

[REDACTED]

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[REDACTED]
[REDACTED]
Mr. Lenzner. Can I ask whether this is an area that the Agency has agreed to provide the Committee with information on? I take it this is a slightly different area than what we have been talking about in the sense that you are now asking a specific question of [REDACTED]

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[REDACTED] Is that the substance of the question?
Mr. Maxwell. The substance of the question is how information would be made available or whether information would be made available [REDACTED]

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Dr. Gottlieb. I was preparing to comment very generally, not specifically.
Mr. Lenzner. I have no problem with that. That is fine.
Mr. Maxwell. [REDACTED]
[REDACTED]
[REDACTED]

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Mr. Lenzner. [REDACTED]
[REDACTED]
Dr. Gottlieb. This point you bring up would be handled, as I remember, differently now that I have left the Agency than when I started in. [REDACTED]
[REDACTED] And if it was a problem in the technical area, be it those drugs or audio, or

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anything else, they would bring it up with [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

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[REDACTED] the help would then go out. And that would be true whether it was drugs or audio or non-technical things or what have you.

What I am trying to say is, it would come in as a request from [REDACTED] Its appropriateness in terms of helping or complying would be decided by basically the DDP, or whoever he designated.

Mr. Maxwell. Because of the sensitivity of this kind of technique and this kind of operation, would there be a differential of --

Dr. Gottlieb. I would think the DDP himself would probably want to do it.

Mr. Maxwell. Would it be likely that the Agency would inform [REDACTED] of capabilities in regard to materials such as this?

Dr. Gottlieb. I am thinking about it. Of course, it is

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* little speculative.

[REDACTED]

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Is that responsive to your question?

Mr. Maxwell. Yes.

That same memorandum the recor should show that there is no indication that Dr. Gottlieb was in attendance at the meeting on 22 January. It indicates on page 13, in paragraph 13, that the ARTICHOKE organization had been studying the use of of certain facilities in the US as testing grounds for new ideas, experiments, et cetera, particularly using criminals and the criminally insane. Do you recall ARTICHOKE operations either in prisons, mental hospitals or other facilities that might hold either crominals or the criminally insane?

Dr. Gottlieb. I don't remember anything like that.

(Witness confers with counsel.)

Dr. Gottlieb. We are ready to proceed.

Mr. Maxwell. There is a memorandum of another ARTICHOKE conference of 19 February 1953 again by Morse Allen. You are listed as attenging representing OTS at this conference.

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Mr. Lenzner. What is the date?

Mr. Maxwell. The date of the conference is 19 February 1953. The date of the memorandum is 5 March 1953.

Dr. Gottlieb. I only see an agenda -- I see it is followed by 5 March.

Mr. Maxwell. In paragraph 2(a) it indicates that [redacted] had brought back material I think from either Mexico or [redacted], and it was being prepared to be handed over to you for analysis. Do you recall his return and the material that was brought to you for analysis?

Dr. Gottlieb. As I said, I remember his gathering materials. And I remember them being in general in the psychogenic botanical area.

Mr. Maxwell. And that would be material such as --

Dr. Gottlieb. Such as psilocybin or mescaline -- I don't remember marijuana coming up -- but that kind of material. But I don't remember what he brought back this time or what we did with it.

Mr. Maxwell. In paragraph 2(f) it notes that: [redacted]

[redacted] then discussed conversations with you relative to OTS activities in the field." And later on in the paper it indicates that you spoke about the activities of OTS, stating that OTS from time to time reported on psycho-chemicals and announced that in his opinion several of the materials that were now using were safe enough for field testing. OTS will

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keep in touch with ARTICHOKE.

It goes on indicating that you would like to continue to attend the ARTICHOKE conferences.

Do you remember the psychochemicals that were being tested at that time by OTS, and the ones that were being prepared for field testing?

Dr. Gottlieb. I don't remember specifically. I would speculate that they probably refer to LSD and mescaline, that would be my suspicion.

Mr. Maxwell. At that point testing, according to you, was going on at various universities and hospitals rather than pinpointing the exact ones. The CIA has indicated that the list of five places where chemicals were being tested for ARTICHOKE in hospitals and universities. It is my understanding at that point that you were still as of 19 February 1953 in the Research and Development part of TSS?

Dr. Gottlieb. That is correct.

Mr. Maxwell. In trying to recall the kinds of experiments that were going on conducted by TSS or contracted out by TSS I would like to focus on experiments that were being conducted in either hospitals, or if they were contracting out to hospitals for the criminally insane, mental hospitals or prisons, to try to recall what research was going on in facilities such as that, and then more particularly the kinds of experiments and the kinds of controls that were used in

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the experiments either by the experimenters themselves or by CIA in regard to research design, visitation inspection, reports, et cetera?

Dr. Gottlieb. I think you are asking for a lot of detail that I can't help you with much now, in terms of just how they were conducted and who we were talking to, and what part we had in the actual design of the experiments. I am almost positive by my remembrance that those were all contract work, in the sense that they were not work that we ourselves were doing.

Mr. Maxwell. They would, however, be in the research design if in fact there was a contract, it would be let with the approval of TSS?

Dr. Gottlieb. I imagine there would be some consultation with whoever was monitoring the project, sure. My problem is that I can't remember now either exactly where they were, who monitored them, what experiments were done, or what the design was. I can only say that the experiments involved both the pharmacology of LSD and similar materials, and probably some clinical testing on humans.

Mr. Maxwell. By clinical testing you mean what?

Dr. Gottlieb. I mean the administering them to human beings to see what their clinical effect was. And my remembrance of this period was that a lot of people began to be interested in the therapeutic possibility of LSD.

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Mr. Maxwell: Was it standard procedure at CIA at the time if a contract was let to a private researcher or other Government agency to provide for inspection by CIA officers?

Dr. Gottlieb: I think the system as to project monitors was that the fellow responsible for it would visit the facility once in awhile to try to discuss with the principal investigator what results were coming out of it, and try to make sure that the things we had contracted for were in fact happening.

Mr. Maxwell: Would the project monitors all be from the research part, especially the research part of TSD?

Dr. Gottlieb: I would think so.

Mr. Maxwell: They wouldn't be from the biology branch, chemistry branch -- or would they be project monitors as well?

Dr. Gottlieb: We are getting into a semantics problem here. The things you mentioned are the same to me, they would be monitored by TSS personnel from the Chemical Branch. Those were the people I am calling project monitors.

Mr. Maxwell: Were you at that time in the Chemistry Branch?

Dr. Gottlieb: My memory is that I was Chief of the Chemistry -- either branch or division, I forget what it was called --

Mr. Maxwell: Would it be likely that that would mean that you would be a project monitor --

Dr. Gottlieb: -- myself, there were two or three other people, either myself or one of those other individuals that

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were in that branch or division.

Mr. Maxwell: Was there any criterion for assigning a particular project to a particular individual within the division?

Dr. Gottlieb: The criteria would be his background, his project load, his interest.

Mr. Maxwell: Would the security of the project or the sensitivity of the project be a criterion?

Dr. Gottlieb: I don't think so. You mean would I tend to the most sensitive ones and someone else the less sensitive ones, that sort of thing? I don't remember that kind of consideration.

Mr. Maxwell: Within the branch itself was there fairly easy conversation about the specifics of the particular --

Dr. Gottlieb: I think so, it is a small branch, as I remember it, not much compartmentation between the branch.

Mr. Maxwell: So you would generally know what [redacted] was doing?

Dr. Gottlieb: As Head of the Branch I certainly would.

Mr. Maxwell: And he would have knowledge of what you were doing?

Dr. Gottlieb: I think so.

Mr. Ralston: Do you remember on the contracting out whether this was a covert contracting with a cutout for CIA?

Dr. Gottlieb: I think so. I think they were all handled

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that way.

Mr. Maxwell. Would the cutouts involve the ULTRA mechanism in this case?

Dr. Gottlieb. I really don't remember in a time sense when the ULTRA mechanism came in, whether it came in at this particular time or a little later. Maybe the papers you have indicate that better than I remember it. I just don't remember the date that that all started.

Mr. Maxwell. I will have to admit that the paper on MKULTRA are not voluminous.

Do you recall in a general sense in regard to human experimentation at that time whether there was a policy on the part of TSS in regard to the forms of consent obtained or the notification to those people who are involved in projects that have been contracted out, experiments that have been contracted out?

Dr. Gottlieb. To the best of my remembrance, I think we counted on the investigator and the system they were using the institution or installation to handle that. We didn't have any. We felt that was their responsibility.

Mr. Maxwell. Would that normally be involved in a research design that was brought to your attention or to the attention of TSS?

Dr. Gottlieb. I don't think so. My best recollection that is that we assumed that these places we were dealing

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had a system for coping with that problem, how they got consent and how they got volunteers or how they handled that. I don't think we second guessed them on it.

Mr. Maxwell. With regard to a point that was raised earlier in regard to Mr. Bannerman's comment in the January ARTICHOKE meeting, in regard to facilities for criminals and the criminally insane being used as testing grounds, can you recall what forms of consent might have been expected in situations involving criminals or criminally insane for experimentation with psychochemicals?

Dr. Gottlieb. When you asked me the question before about ARTICHOKE and criminals and the criminally insane, I was defining it narrowly to what we had talked about was the ARTICHOKE activities. I hadn't realized you might mean psychochemicals.

Mr. Lenzner. He asked the question specifically with regard to ARTICHOKE.

Mr. Maxwell. That is correct. I asked in regard to the ARTICHOKE conference of February whether in fact there had been use of either patients in hospitals for the criminally insane and prisoners in prisons, either the criminally insane or criminals. Dr. Gottlieb didn't remember. He went on -- this is no attempt to trick you -- if in fact research was done either with the criminally insane or criminals using psychochemicals, or hospital patients using psychochemicals, not

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1 the ARTICHOKE program, do you recall any questions raised or
 2 do you recall any requirements that were levied by TSS in
 3 regard to consent on the part of those individuals for the
 4 testing?

5 Dr. Gottlieb. My answer is no, I do not recall that.

6 Mr. Maxwell. Do you recall any discussions in TSS or any
 7 agency in regard to questions of consent and propriety of
 8 either particular forms of consent or the issue of consent at
 9 all in regard to these subject populations?

10 (Witness confers with counsel.)

11 Dr. Gottlieb. The point we are talking about here was
 12 that the institutions where this kind of work was going on had
 13 a system for doing this.

14 (Off the record discussion.)

15 Mr. Maxwell. To make a last comment clearer, the question
 16 you are referring to is between you and counsel at that point.

17 Dr. Gottlieb. Right. I am a little lost myself now as
 18 to where we are. Can we go off the record for a moment?

19 (Off the record discussion.)

20 Mr. Maxwell. Back on the record.

21 Do you have any specific or general recollection of members
 22 of your staff or yourself inquiring of the institution for
 23 which you were working, and in particular institutions such as
 24 hospitals, institutions which housed the criminally insane,
 25 and non-criminally insane, penal institutions, jails, as to the

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form if any of consent waivers that were being provided to human subjects being tested with psychochemicals?

Dr. Gottlieb. My answer is, first, that the specific of this question of how much we knew or how much the question of consent on the part of the subjects we used are not remembered by me now.

The second comment I want to make is that the remembrance I had is that I had a general awareness that there was consent procedures, but I don't remember specifically talking about them myself or my subordinates looking into them. I just have no remembrance of going into an area now. We may have or we may not have.

I mentioned before, these were not unwitting tests. I think that is on the record as I remember them. They were all supposedly witting tests. The tests we did at these institutions were all towards the end of determining information about these materials under witting conditions.

Mr. Maxwell. Was there any attempt that you can recall made by you or your staff to determine the level of knowledge which was provided to subjects to make them "witting"?

Dr. Gottlieb. I can't remember that.

Mr. Maxwell. So in general the distinction you are drawing between witting and unwitting has to do with a broad differentiation --

Dr. Gottlieb. And not the degree of information they were

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given, yes.

Mr. Maxwell. How could you characterize the distinction in your mind between witting and unwitting testing of psychochemicals?

Dr. Gottlieb. I think I can clear that up very simply. What I am talking about, the unwitting would be the covert administration of the material, with no knowledge on the subject's part.

Mr. Maxwell. This would be a good place to stop.

Mr. Lenzner. I hope that the Committee doesn't take Dr. Gottlieb in this sense or any sense -- when he says he does not remember, that should not be inferred by the staff or the Committee to mean that that didn't happen. And I have some sense that you are making an assumption in your follow-up questions when he says, no, I have no recollection of that, that in fact if such a conversation or investigation took place, when indeed it may have.

Mr. Maxwell. I don't think that is a logical inference to draw.

Mr. Lenzner. Good. I am glad to hear that.

(Whereupon, at 6:20 p.m., the interview was adjourned.)

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